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# **Draft Report**

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### **Revision History**

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Draft v1.0 February 2022	None	Joanne Corbett
Draft v2.0 March 2022	Initial CDC comments addressed	Joanne Corbett

### Contract

This report describes work commissioned by Joanne Corbett, on behalf of Cotswold District Council, by an email dated 28 August 2021. The Client's representative for the contract was Joanne Corbett. Mike Williamson of JBA Consulting carried out this work.

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### Purpose

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### **Executive summary**

This Level 1 Strategic Flood Risk Assessment (SFRA) is an update to the previous SFRA, completed in 2014, using up-to-date flood risk information together with the most-current flood risk and planning policy available from the National Planning Policy Framework<sup>1</sup> (NPPF) (2021) and Flood Risk and Coastal Change Planning Practice Guidance<sup>2</sup> (FRCC-PPG).

The Level 1 SFRA is focused on collecting readily available flood risk information from a number of stakeholders, the aim being to help identify the number and spatial distribution of flood risk sources present throughout the Cotswold District Council's Local Plan area to inform the application of the Sequential Test.

Cotswold District Council (CDC) requires this Level 1 SFRA to initiate the sequential risk-based approach to the allocation of land for development and to identify whether application of the Exception Test is likely to be necessary. This will help to inform and provide the evidence base for the update to the Local Plan.

The LPA provided its latest assessed sites data and information. An assessment of flood risk has been undertaken on all sites provided to assist the LPA in its decision-making process for sites to support the Local Plan.

A number of CDC's allocation sites are shown to be at varying risk from fluvial and surface water. Development consideration assessments for all assessed sites are summarised through a number of strategic recommendations within this report and the development sites assessment spreadsheet in Appendix C. The strategic recommendations broadly entail the following:

- Strategic Recommendation A consider withdrawal due to functional floodplain unless functional floodplain can be included in site design or the site boundary can be redrawn to remove function floodplain from the boundary;
- Strategic Recommendation B Exception Test required if site passes Sequential Test;
- Strategic Recommendation C site to progress to FRA stage or carry out Level 2 SFRA to confirm climate change risks; and
- Strategic Recommendation D development could be allocated on flood risk grounds based on the evidence of this Level 1 SFRA; LPA to make decision on allocation.

A total of 103 sites were screened against the latest available flood risk information. The majority of the sites were residential at 75. A further 15 sites were residential combined with either employment, retail or education. There were 8 sites designated for mixed use, 3 employment sites and 1 education site. There was 1 site allocated for recreation and environmental improvements.

Strategic Recommendation A applies to 17 sites. Strategic Recommendation B does not apply to any development sites assessed. There are 74 sites to which Strategic Recommendation C applies; of these sites, 69 are 100% within Flood Zone 1, meaning surface water risk is what chiefly needs to be mitigated at these sites; though fluvial risk should still be checked

<sup>1</sup> https://www.gov.uk/government/publications/national-planning-policy-framework--2

<sup>2</sup> https://www.gov.uk/guidance/flood-risk-and-coastal-change

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in more detail. For these sites, the developer should consider the site layout with a view to removing the developable area from the flood zone that is obstructing development i.e. the high and medium risk surface water flood risk zones. If this is not possible then the alternative would be to investigate the incorporation of onsite storage of water into the site design through appropriate SuDS. 4 sites are partially within Flood Zone 2 and therefore a FRA will be required to confirm the risk. 1 site has 72% of its area within Flood Zone 3b, however, the site is proposed for 'recreation and environmental improvements' so it is assumed the site will be water compatible and Flood Zone 3b will be included within the site design as open greenspace designed to flood. This site has therefore been assigned to Strategic Recommendation C for a FRA to confirm the risk can be appropriately mitigated.

Strategic Recommendation D applies to 12 sites with all of these being less than 1 hectare and at very low risk of surface water flooding.

See Appendix C for a full breakdown of the risk at each site and Appendix E which discusses the identified risks.

#### SFRA Recommendations

The main planning policy and flood risk recommendations to come out of this SFRA are outlined briefly below and are based on the fundamentals of the National Planning Policy Framework and the Flood Risk and Coastal Change Planning Practice Guidance. Section 8.2 of this report provides further details.

SFRA recommendation:

- No development within the functional floodplain, unless development is water compatible;
- Surface water flood risk should be considered with equal importance as fluvial/tidal risk;
- The sequential approach must be followed in terms of site allocation and site layout;
- Ensure site-specific Flood Risk Assessments are carried out to a suitable standard, where required, with full consultation required with the LPA/LLFA, the EA, Thames Water, Severn Trent and Wessex Water;
- Appropriate investigation and use of SuDS;
- Natural Flood Management techniques must be considered for mitigation;
- Phasing of development must be carried out to avoid possible cumulative impacts; and
- Planning permission for at risk sites can only be granted by the LPA following a site-specific FRA.

Included within this Level 1 SFRA, along with this main report, are:

- Discussion of relevant Planning Framework and Flood Risk Policies Appendix A;
- Detailed interactive GeoPDF maps showing all available flood risk information together with the assessed sites – Appendix B;
- Development site assessment spreadsheet detailing the risk to each site with recommendations on development – Appendix C;
- A note on the delineation of the functional floodplain following discussion and agreement between CDC and the EA – Appendix D;
- Discussion of the strategic recommendations outlined in the site assessment spreadsheet – Appendix E;
- Key settlement summaries Appendix F;



- Discussion of existing flood risk issues in neighbouring authorities Appendix G;
- Cumulative Impact Assessment methodology Appendix H; and
- Discussion of historical flood events within CDC; Appendix I.

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# Abbreviations

ABD	Area Benefitting from Defences
	Those areas which benefit from formal flood defences in the event of flooding from rivers with a 1% chance in any given year or from the sea with a 0.5% chance in any given year. If the defences were not there, these areas would be flooded
AEP	Annual Exceedance Probability
	The probability of a flood event occurring in any one year
CC	Climate Change
	Long term change in global climate
CDC	Cotswold District Council
	Local authority for the Cotswold District
CFMP	Catchment Flood Management Plan
	Considers all types of inland flooding from rivers, surface water, groundwater and tidal together with land management
DLUHC	Department for Levelling Up, Housing and Communities
	Role is to create great places to live and work, and to give more power to local people to shape what happens in their area
DPD	Development Plan Document
	Documents that make up the Local Plan and form part of the statutory development plan for the areas. DPDs must include the Local Plan and adopted Policies Map. All DPDs are subject to public consultation and independent examination
EA	Environment Agency
	Helps to protect and improve the environment in England including climate change adaptation and reducing its impacts, including flooding, drought, sea level rise and coastal erosion whilst improving the quality of water, land and air by tackling pollution
FAS	Flood Alleviation Scheme
	Works designed to provide protection from flooding
FCERMGiA	Flood and Coastal Erosion Risk Management Grant in Aid
	Central government funding to flood risk management authorities to pay for a range of activities including schemes that help reduce the risk of flooding and coastal erosion
FRA	Flood Risk Assessment
	Detailed assessment of flood risk and mitigation to be provided as part of a planning application
FRM	Flood Risk Management
	The introduction of mitigation measures (or options) to reduce the risk posed to property and life as a result of flooding. It is not just the application of physical flood defence measures
FRMP	Flood Risk Management Plan
	Include measures for the prevention and mitigation of floods
FSA	Flood Storage Area
	Area designed to store water in a flood and release it later when flood waters have subsided
FWMA	Flood and Water Management Act



	UK Act of Parliament relating to the management of risk from flooding and coastal erosion. The Act aims to reduce the flood risk associated with extreme weather, compounded by climate change
GCC	Gloucestershire County Council
	Lead Local Flood Authority for Cotswold District
HFM	Historic Flood Map
	<i>National map produced by the Environment Agency showing historical flood extents</i>
LDS	Local Development Scheme
	<i>Sets out the Council's work programme in relation to the main planning policy documents</i>
LFRMS	Local Flood Risk Management Strategy
	Must be produced by each LLFA in England and Wales to assess local flood risk, set out objectives for managing local flood risk, and list costs and benefits of measures proposed to meet these objectives, and how the measures will be paid for
LIDAR	Light Detection and Ranging
	An airborne laser mapping technique producing precise elevation data
LLFA	Lead Local Flood Authority
	Leads in managing local flood risk such as from surface water, groundwater and ordinary watercourses. Usually made up of county councils or unitary authorities
LPA	Local Planning Authority
	Planning department of a local council
NFM	Natural Flood Management
	Use of natural processes to reduce the risk of flooding and coastal erosion
NPPF	National Planning Policy Framework
	Sets out the Government's planning policies for England and how these are expected to be applied at a local level
PFR	Property Flood Resilience
	Property level protection measure to help reduce flood risk
PFRA	Preliminary Flood Risk Assessment
	<i>Carried out by the Environment Agency and Lead Local Flood</i> <i>Authorities to assess previous flood incidents and flood that could</i> <i>occur in future</i>
RBD	River Basin District
	Area of land from which all the water flows into a particular river
RBMP	River Basin Management Plan
	Set out how organisations, stakeholders and communities will work together to improve the water environment
RoFSW	Risk of Flooding from Surface Water
	National scale surface water flood risk dataset
RMA	Risk Management Authority
	Authorities that work in partnership with other authorities to actively manage and reduce the risk of flooding
SA	Sustainability Appraisal

	Predicts and assesses the economic, environmental and social effects likely to arise from DPDs and SPDs, enabling each document to be tested and refined, ensuring that it contributes towards sustainable development
SFRA	Strategic Flood Risk Assessment
	Non-statutory requirement of local planning authorities to strategically consider flood risk when planning for new development in the Local Plan
SLR	Sea Level Rise
	A result of climate change
SoP	Standard of Protection
	The return period of a flood event against which a flood defence should be effective
SPD	Supplementary Planning Document
	Support DPDs in that they may cover a range of issues, both thematic and site specific. Examples of SPD may be design guidance or development briefs. SPD may expand policy or provide further detail to policies in a DPD. They will not be subject to independent examination
SuDS	Sustainable Drainage Systems
	<i>Drainage systems designed to mimic natural water management processes</i>
SWMP	Surface Water Management Plan
	Non-statutory plans used to look at existing surface water flood risk issues and to inform planning decisions for new development
WFD	Water Framework Directive
	<i>EU Directive for river basin management planning to help protect and improve the ecological health of rivers, lakes, estuaries and coastal and groundwaters</i>
WwNP	Working with Natural Processes Use of natural processes to reduce the risk of flooding and coastal erosion

## 1 Introduction

#### 1.1 Background

Cotswold District Council (CDC) commissioned JBA Consulting to prepare a comprehensive update to the Cotswold District Council Level 1 Strategic Flood Risk Assessment (SFRA), published July 2008. CDC requires this update to bring the SFRA fully in line with the latest Government planning policy, Environment Agency (EA) guidance and flood risk information.

In June 2020 CDC agreed to undertake a partial update for the Local Plan. The update focuses only on issues that need modification within the plan period (to 2031) and does not invite consultation and examination on matters beyond the plan period.

A new project timetable was agreed by Cabinet in May 2021 and is set out in the Local Development Scheme (LDS). The LDS sets out the Council's work programme in relation to the main planning policy documents over the period 2021-2024. These documents include the Local Plan (the Development Plan for the area) and associated documents, such as Supplementary Planning Documents (SPDs). This SFRA update will inform the Regulation 18 part of the Local Plan update.

#### 1.2 Strategic Flood Risk Assessment

All local planning authorities (LPAs) should produce a Level 1 SFRA to help various parties consider flood risk when making planning decisions regarding development and flood risk management. A Level 2 SFRA may also be required depending on whether the authority has plans for development in flood risk areas, as identified in the Level 1 SFRA.

The purpose of a SFRA is to highlight areas that may flood, accounting for known sources of flooding and the likely impacts of climate change. This enables the LPA to prepare policies for flood risk management in areas of flood risk and to allocate development appropriately and sustainably.

The SFRA should inform the Sustainability Appraisal of the Local Plan and should provide the basis to apply the Sequential Test and, if required, the Exception Test.

The EA's SFRA guidance for LPAs states:

"Your SFRA will help your planning authority make decisions about:

- your local plan or spatial development strategy
- individual planning applications
- how to adapt to climate change
- future flood management
- emergency planning (the resources needed to make development safe)

You also need it to help you:

- carry out the sequential test for the local plan or spatial development strategy, and individual planning applications
- do the exception test, when you're proposing to allocate land for development in flood risk areas
- establish if a development can be made safe without increasing flood risk elsewhere
- decide when a flood risk assessment will be needed for individual planning applications
- identify if proposed development is in functional floodplain
- do the sustainability appraisal of the local plan or spatial development strategy."



#### 1.3 Cotswold Level 1 SFRA

The Cotswold Level 1 SFRA has been carried out in accordance with Government's latest development planning guidance including the National Planning Policy Framework<sup>3</sup> (NPPF), first published March 2012 and last updated July 2021, and the accompanying flood risk and planning practice guidance, the Flood Risk and Coastal Change Planning Practice Guidance<sup>4</sup> (FRCC-PPG), first published 2014 and last updated August 2021. The latest SFRA guidance has also been considered, including 'How to prepare a strategic flood risk assessment<sup>5</sup>' guidance, September 2020, and the 'Strategic flood risk assessments a Good Practice Guide<sup>6</sup>' guidance, December 2021.

This SFRA makes use of the most up-to-date flood risk datasets, available at the time of submission, to assess the extent of risk, at a strategic level, to potential development sites identified by CDC which acts as the LPA. Gloucestershire County Council (GCC) acts as the Lead Local Flood Authority (LLFA) covering the Cotswold District.

The SFRA Appendix contains interactive GeoPDF maps (Appendix B) showing the existing and potential development sites overlaid with the latest, readily available, gathered flood risk information along with a Development Site Assessment spreadsheet (Appendix C) indicating the level of flood risk to each site following a strategic assessment of risk. Each site is assigned a strategic recommendation, discussed in Section 6.6. This information allows the LPA to identify the strategic development options that may be applicable to each site and to inform on the application of the Sequential Test.

#### 1.4 Objectives

The key objectives of this Level 1 SFRA, in line with the NPPF, FRCC-PPG, EA SFRA guidance and as specified by CDC, are to:

- Determine the variations in risk from all sources of flooding including:
  - Fluvial from main rivers and ordinary watercourses (Flood Map for Planning and functional floodplain), surface water (pluvial and sewer), groundwater, residual risk from reservoirs and canals (see Section 5),
  - Long-term fluvial risk based on the EA's latest available modelled climate change outputs. Where available EA modelling is not based on the most up to date EA allowances for peak river flows, a precautionary approach is taken to estimating long term risk from climate change (see Section 6.7),
  - Historic flood events from available sources (see Section 5.6),
- Determine the risks to and from neighbouring authorities in the same flood catchments i.e., West Oxfordshire, Swindon and Wiltshire. Review locations where additional development may significantly increase flood risk elsewhere (cumulative impacts) and where development pressures may require the

3 National Planning Policy Framework; Ministry of Housing, Communities & Local Government, 2021

4 Flood Risk and Coastal Change Planning Practice Guidance; Ministry of Housing, Communities & Local Government, 2021

5 How to Prepare a Strategic Flood Risk Assessment, Defra and Environment Agency, 2020

6 Strategic flood risk assessments A GOOD PRACTICE GUIDE, Report produced using Environment Agency research on 'using flood risk information in spatial planning' (2019-2020), 2021



Exception Test to be applied (i.e. where a Level 2 assessment is required), (see Section 6.5),

- Assess existing and future flood risk management, including defence infrastructure, defence types, Standards of Protection, condition as per T98 specifications, Areas Benefitting from Defences and associated residual risk (see Section 5.7),
- Discuss opportunities to reduce flood risk to existing communities, infrastructure and developments through better management of surface water, provision for conveyance, storage of floodwater through appropriate Sustainable Drainage Systems (SuDS). Also, through natural flood management and the use of green infrastructure and open space for flood storage and amenity use through blue/green corridors (see Sections 5.7 and 6.8),
- Screen existing allocations and potential development sites against flood risk data to enable application of the Sequential Test as part of the Level 1 SFRA and, where necessary, the Exception Test, through a Level 2 SFRA, when determining potential land use allocations (see Section 6 and Appendices C and E),
- Identify the requirements for site-specific flood risk assessments in targeted locations, including those at risk from sources other than rivers (see Section 6),
- Recommend possible flood mitigation solutions that may be integrated into site design (by the developer) to minimise risk to property and life where flood risk has been identified as a potential constraint to future development (see Section 6),
- Detail emergency planning capabilities of the Local Resilience Forum, focusing in particular on identifying safe access and egress routes from new developments, and also EA flood warnings (see Section 7),
- Provide a reference and policy document to advise and inform the general public and private and commercial developers of their obligations under the NPPF,
- Enable the SFRA to be used as a tool to inform the Development Management process about the potential risk of flooding associated with future planning applications and the basis for requiring site-specific FRAs where necessary.

#### **1.5 Consultation**

The EA's SFRA guidance recommends consultation on the Level 1 SFRA with the following parties, external to the LPA:

- the EA,
- the LLFA,
- emergency planners,
- emergency services,
- water and sewerage companies,
- reservoir owners or undertakers, if relevant,
- internal drainage boards, if relevant,
- highways authorities,
- neighbouring district councils,
- regional flood and coastal committees.



#### **1.6 SFRA Future Proofing**

This SFRA has been developed using the most up-to-date data and information available at the time of submission. The SFRA has been future proofed as far as possible though the reader should always confirm with the source organisation (CDC) that the latest information is being used when decisions concerning development and flood risk are being considered. The FRCC-PPG, alongside the NPPF, is referred to throughout this SFRA, being the current primary development and flood risk guidance information available at the time of the finalisation of this SFRA.

The EA's SFRA guidance states a review of a SFRA should be carried out when there are changes to:

- the predicted impacts of climate change on flood risk,
- detailed flood modelling such as from the EA or LLFA,
- the local plan, spatial development strategy or relevant local development documents,
- local flood management schemes,
- flood risk management plans,
- local flood risk management strategies,
- national planning policy or guidance.

The SFRA should also be reviewed after a significant flood event. It is in any authority's interest to keep the SFRA as up to date as possible.

Ideally, the SFRA should be kept as a 'live' entity and continually updated when new information becomes available. The EA requests for reports and maps to be published online and be easily updateable, when required.

This SFRA uses the EA's Flood Map for Planning (FMfP) version issued in December 2021 to assess fluvial to the potential development sites. The Flood Map for Planning is updated at quarterly intervals by the EA, as and when new modelling data becomes available. The reader should therefore refer to the online version of the Flood Map for Planning to check whether the flood zones may have been updated since December 2021, via the following link:

#### https://flood-map-for-planning.service.gov.uk

To assess the surface water risk to the potential development sites, this SFRA uses the EA's Risk of Flooding from Surface Water (RoFSW) dataset, last updated May 2021 at the time of writing. This dataset can be updated periodically when applicable local surface water modelling is carried out that adheres to the EA's required methodology. The reader should therefore refer to the online version of the RoFSW map to check whether the surface water flood outlines have been updated, via the following link:

https://flood-warning-information.service.gov.uk/long-term-flood-risk/map

### 2 Study area

Cotswold District Council administrative area is situated in the south of England within the county of Gloucestershire. The south of the district is bordered by Wiltshire whilst the east borders the West Oxfordshire districts. Stratford-upon-Avon and Wychavon districts border the north of the Cotswold administrative area; whilst Tewkesbury, Stroud and Cheltenham districts border the west. Cotswold is a large rural district covering 450 square miles. The largest town is Cirencester and is home to approximately 20% of the population of the District. The District is rural and sparsely populated, with numerous villages and hamlets.

The landscape is exceptionally distinctive. The Cotswolds Area of Outstanding Natural Beauty (AONB) is a national designation which affords the highest level of landscape protection and covers nearly three quarters of the District. In addition, there are locally designated Special Landscape Areas and the Cotswold Water Park (internationally important for its nature conservation). Coupled with this natural beauty the District has an abundance of built heritage and archaeology, creating a unique 'Cotswold Character'. The District has many listed buildings and a significant number of conservation areas. Several parks are listed on the English Heritage list of historic parklands. The District also has 238 scheduled ancient monuments.

The main river catchment within the Cotswold District is the Thames catchment which extends over an area of almost 13,000 km<sup>2</sup>. The topography of the catchment is characterised by rural landscapes, rolling hills and wide, flat river floodplains with the east comprising a significantly more urban environment (Figure 2-1). The west of the district is comprised of hilly, upland areas. The nature of the topography indicates a faster, 'flashy' system in the uplands with water levels and flows responding to rainfall in a shorter time. In contrast, the flatter lower-lying parts of the lower catchment show slower responses.

The Thames is a 215-mile-long watercourse, rising in the west in Gloucestershire, flowing through the Cotswold District, Oxfordshire and Buckinghamshire. The River Thames has its source upstream of Kemble, and continues as an ordinary watercourse in the Cotswold District at Thames Head (ST 9804 9947), very soon being classed as a main river less than 1km downstream. The river then flows through Greater London, with the channel becoming more artificial and straightened, before reaching the Thames Estuary to the east. The Thames has a number of tributaries in its upstream phase that have the potential to be a source of risk for settlements within the Cotswolds, including the River Churn, River Coln, and Ampney Brook.

The River Churn flows through Cirencester, where it is the main source of flood risk to properties and roads in Cirencester, South Cerney and Siddington. Historical blockage of culverts on the River Churn in the Spitalgate Lane area may have contributed to fluvial flood risk in the past. The River Churn is also recorded to have flooded several residential properties at Watermoor, South Cerney and Cerney Wick.

The River Coln rises as several minor rivers in the hills north of Withington. It is classed as a main river from Chedworth Woods onwards. From here it winds its way south eastwards through Bibury, Coln St Aldwyns and Fairford and past the Cotswold Water Park before flowing into the River Thames. The main areas described as being at risk in Fairford are Milton Street and the A417.

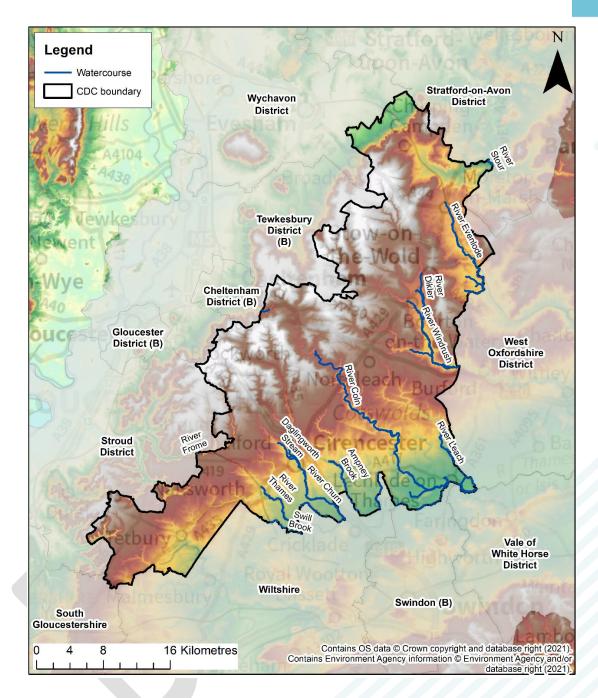


Figure 2-1: Cotswold District and neighbouring authorities

#### 2.1 Topography

The topography of the District is influenced by the interbedded nature of the limestones and clays of the Inferior and Great Oolite Group. Towards the western extent of the District the landscape is characterised by a steep scarp face with incised valleys marking the edge of the Cotswold Hills. Here, elevations are in excess of 300m AOD with the Inferior Oolite rocks forming the main upland area. To the east and south west of the escarpment, the topography of the District becomes rather more undulating, reflecting the regional dip of the Inferior and Great Oolite beds. Towards the south and south eastern extents of the District, valleys of those such as the Evenlode, Windrush and Coln are typically much broader and shallower cut into the underlying softer Lias mudstones. Here, elevations ranging from approximately 165m AOD in the headwaters to 82m AOD as the watercourses approach the flatter, wider floodplains of the River Thames.

The geology of the Cotswold District is complex and is dominated by limestones of the Jurassic age. The limestones within the Great Oolite Group and Inferior Oolite Group cover the majority of the District towards the north-western and central extents and have a significant influence on the topography, drainage and soils of the Cotswolds. Geology information can be viewed on the British Geological Society website (http://mapapps2.bgs.ac.uk/geoindex/home.html).

Much of the upland areas of the Cotswolds comprises of the Great Oolite Group and demonstrates a greater variety in formations than the Inferior Oolite Group. An area of Lias Group mudstones dominates to the northeast. Towards the south and east of the District in the Upper Thames Valley, the Jurassic limestones of the Great Oolite Group are succeeded by a succession of mudstones including the Oxford clay. These form the broad valleys around the main rivers and streams which flow eastwards.

Sand and gravel drift deposits are mainly associated with the tributaries of the River Thames including the Rivers Churn, Coln, Leach, Windrush and Evenlode and within the Cotswold Water Park towards the south. Here, superficial deposits are thick and extensive. Further drift deposits can be found towards the northeast of the District, overlying the Lias Group mudstones.

Away from the escarpment the drainage is almost entirely south eastwards via the tributaries of the Thames; namely the Rivers Churn, Coln, Leach, Windrush and Evenlode. Where they join the Thames, superficial deposits are thick and extensive. The valleys of the Churn, Coln, Leach and their tributaries tend to be narrow and meandering because they are incised into the limestones of the Inferior Oolite and Great Oolite. They contain narrow tracts of superficial deposits. In contrast, the Windrush and the Evenlode lie in broader shallow valleys cut into soft Lias mudstones and may be flanked by more substantial expanses of terrace deposits and alluvium. In addition, in the case of the Evenlode, which drains the Vale of Moreton, there are broad tracts of till and associated sand and gravel deposits left behind by an ice sheet during the last Ice Age.

There are aquifers within the District (Great Oolite) that are confined by overlying geology (Oxford Clay). Groundwater levels within these confined aquifers may be artesian (above ground level) however the groundwater is prevented from reaching the surface by the overlying impermeable geology.

#### 2.2 Main rivers

Main rivers are generally major watercourses for which the EA has permissive powers to carry out maintenance, improvement or construction work to manage flood risk. The EA also regulate development or works in, on, over, under or within 8 metres of fluvial main river watercourses under the Environmental Permitting (England and Wales) Regulation 2016. This also includes within the floodplain if works do not have planning permission and require quarrying or excavation within 16 metres of any main river, flood defence or culvert. The range of activities subject to regulation are listed online via:



https://www.gov.uk/guidance/flood-risk-activities-environmental-permits#check-if-the-activity-is-on-a-main-river

Whilst the EA has permissive powers to undertake works, the maintenance of main rivers is primarily the responsibility of riparian owners.

The main rivers of note in terms of flood risk and flood risk management activities in Cotswold include:

- River Thames
- River Coln
- River Churn
- Ampney Brook
- River Windrush
- River Evenlode
- River Dickler

#### 2.3 Ordinary watercourses

Ordinary watercourses are any watercourse that is not designated main river. These watercourses can vary in size considerably and can include rivers, streams and all ditches, drains, cuts, culverts, dikes, sluices, sewers (other than public sewers within the meaning of the Water Industry Act 2014) and passages, through which water flows. Ordinary watercourses do not always contain flowing water all year long; there may be times where the watercourses run dry, particularly over prolonged dry spells. Such watercourses can be described as ephemeral watercourses.

Ordinary watercourses come under the regulation of the LLFA, which has permissive powers to carry out works, should this be deemed necessary, and have regulatory control over certain development activities within the watercourse channel. However, the responsibility for the maintenance of ordinary watercourses lies with the riparian owner. A riparian owner is anyone who owns a property where there is a watercourse within or adjacent to the boundaries of their property; they are responsible for watercourses or culverted watercourses passing through their land.

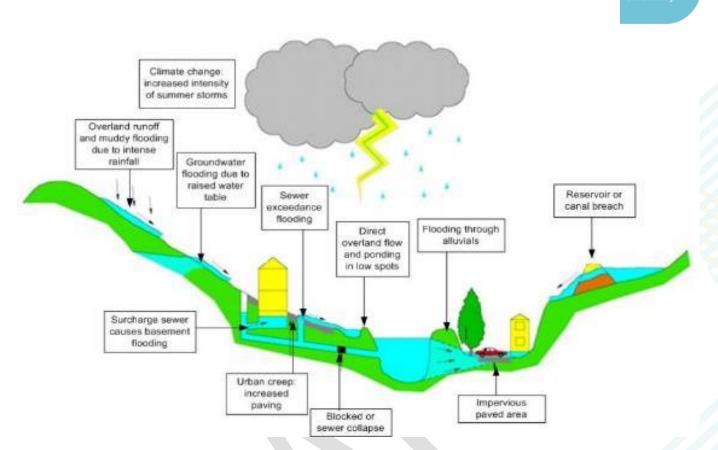
# 3 Understanding flood risk

#### 3.1 Sources of flooding

Flooding is a natural process and can happen at any time in a wide variety of locations, as discussed below. It constitutes a temporary covering of land not normally covered by water and presents a risk when human or environmental assets are present in the area that floods. Assets at risk from flooding can include housing, transport and public service infrastructure, commercial and industrial enterprises, agricultural land and environmental and cultural heritage. Flooding can occur from many different and combined sources and in many different ways. Major sources of flooding (also see Figure 3-1) include:

- Fluvial (main rivers and ordinary watercourses) inundation of floodplains from rivers and watercourses; inundation of areas outside the floodplain due to influence of bridges, embankments and other features that artificially raise water levels; overtopping or breaching of defences; blockages of culverts; blockages of flood channels/corridors.
- Tidal sea; estuary; overtopping of defences; breaching of defences; other flows (e.g. fluvial surface water) that could pond due to tide locking; wave action (not applicable to CDC).
- Surface water surface water flooding covers two main sources including direct run-off from adjacent land (pluvial) and surcharging of piped drainage systems (public sewers, highways drains, etc.)
- Groundwater water table rising after prolonged rainfall to emerge above ground level remote from a watercourse; most likely to occur in low-lying areas underlain by permeable rock (aquifers); groundwater recovery after pumping for mining or industry has ceased.
- Infrastructure failure reservoirs; canals; industrial processes; burst water mains; blocked sewers or failed pumping stations.

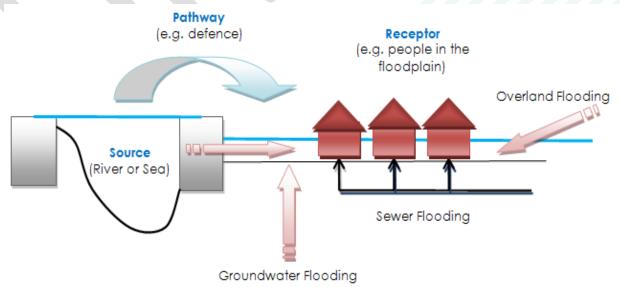
Different types and forms of flooding present a range of different risks and the flood hazards of speed of inundation, depth and duration of flooding can vary greatly. With climate change, the frequency, pattern and severity of flooding are expected to change and become more damaging.



#### Figure 3-1: Flooding from all sources

#### 3.2 Likelihood and consequence

Flood risk is a combination of the likelihood of flooding and the potential consequences arising. It is assessed using the source – pathway – receptor model as shown in Figure 3-2 below. This is a standard environmental risk model common to many hazards and should be the starting point of any assessment of flood risk. However, it should be remembered that flooding could occur from many different sources and pathways, and not simply those shown in the illustration below.



#### Figure 3-2: Source-Pathway-Receptor Model

JBA

In the Cotswold District, the principal flood sources are fluvial and surface water; the most common pathways are rivers, drains, sewers, overland flows; and the receptors include people, their property and the environment. All three elements must be present for flood risk to arise. Mitigation, i.e. flood defence, measures have little or no effect on sources of flooding, but they can block or impede pathways or remove receptors.

The planning process is primarily concerned with the location of receptors, taking appropriate account of potential sources and pathways that might put those receptors at risk. It is therefore important to define the components of flood risk in order to apply this guidance in a consistent manner.

#### 3.2.1 Likelihood

The likelihood of flooding is expressed as the percentage probability based on the average frequency measured or extrapolated from records over a large number of years. A 1 in 100 AEP (Annual Exceedance Probability) events indicates the flood level that is expected to be reached on average once in a hundred years, i.e. it has a 1 in 100 (1%) chance of occurring in any one year, not that it will occur once every one hundred years. Table 3-1 provides an example of the flood probabilities used to describe the fluvial flood zones as defined in the FRCC-PPG and as used by the EA in its Flood Map for Planning (Rivers and Sea).

Note that the flood zones shown on the Flood Map for Planning do not take account of the possible impacts of climate change and consequent changes in the future probability of flooding. The Flood Map for Planning can be accessed via:

Flood Zone	Definition
Zone 1 Low Probability	Land having a less than 1 in 1,000 annual probability of river or sea flooding. (Shown as 'clear' on the Flood Map – all land outside Zones 2 and 3)
Zone 2 Medium Probability	Land having between a 1 in 100 (1%) and 1 in 1,000 (0.1%) annual probability of river flooding; or (Land shown in light blue on the Flood Map)
Zone 3a High Probability	Land having a 1 in 100 (1%) or greater annual probability of river flooding; or (Land shown in dark blue on the Flood Map)
Zone 3b The Functional Floodplain	This zone comprises land where water has to flow or be stored in times of flood. LPAs should identify in their SFRAs areas of functional floodplain and its boundaries accordingly, in agreement with the EA. (Not separately distinguished from Zone 3a on the Flood Map for Planning)

https://flood-map-for-planning.service.gov.uk/

#### Table 3-1: NPPF flood zones<sup>7</sup>

#### **3.2.2 Consequence**

The consequences of flooding include fatalities, property damage, disruption to lives and businesses, with severe implications for people (e.g. financial loss, emotional distress, health problems). Consequences of flooding depend on the hazards caused by flooding

7 Table 1: Flood Zones, Paragraph 065 of the Flood Risk and Coastal Change Planning Practice Guidance



(depth of water, speed of flow, rate of onset, duration, water quality) and the vulnerability of receptors (type of development, nature, e.g. age-structure of the population, presence and reliability of mitigation measures etc.). Flood risk is then expressed in terms of the following relationship:

#### Flood risk = Probability of flooding x Consequences of flooding

#### 3.3 Risk

Flood risk is not static; it cannot be described simply as a fixed water level that will occur if a river overtops its banks or from a high spring tide that coincides with a storm surge. It is therefore important to consider the continuum of risk carefully. Risk varies depending on the severity of the event, the source of the water, the pathways of flooding (such as the condition of flood defences) and the vulnerability of receptors as mentioned above.

#### 3.3.1 Actual risk

This is the risk 'as is' taking into account any flood defences that are in place for extreme flood events (typically these provide a minimum Standard of Protection (SoP)). Hence, if a settlement lies behind a fluvial flood defence that provides a 1 in 100-year SoP then the actual risk of flooding from the river in a 1 in 100-year event is generally low. However, the residual risk may be high in that the impact of flood defence failure would likely have a major impact.

Actual risk describes the primary, or prime, risk from a known and understood source managed to a known SoP. However, it is important to recognise that risk comes from many different sources and that the SoP provided will vary within a river catchment. Hence, the actual risk of flooding from the river may be low to a settlement behind the defence but moderate from surface water, which may pond behind the defence in low spots and is unable to discharge into the river during high water levels.

#### 3.3.2 Residual risk

Paragraph 041 of the FRCC-PPG defines residual risk as those remaining after applying the sequential approach to the location of development and taking mitigating actions.

Defended areas remain at residual risk as there is a risk of defence failure during significant flood events. Areas behind flood defences are at particular risk from rapid onset of fast-flowing and deep-water flooding, with little or no warning if defences are overtopped or breached.

Whilst the actual risk of flooding to a settlement that lies behind a fluvial flood defence that provides a 1 in 100-year SoP may be low, there will always be a residual risk from flooding if these defences overtopped or failed that must be considered. Because of this, it is never appropriate to use the term "flood free".

Developers must be able to demonstrate that development will be safe for the lifespan of the development. To that end, Paragraph 042 of the FRCC-PPG states:

"Where residual risk is relatively uniform, such as within a large area protected by embanked flood defences, the Strategic Flood Risk Assessment should indicate the nature and severity of the risk remaining, and provide guidance for residual risk issues to be covered in site-specific flood risk assessments. Where necessary, local planning authorities should use information on identified residual risk to state in Local Plan policies their preferred mitigation strategy in relation to urban form, risk management and where flood mitigation measures are likely to have wider sustainable design implications".

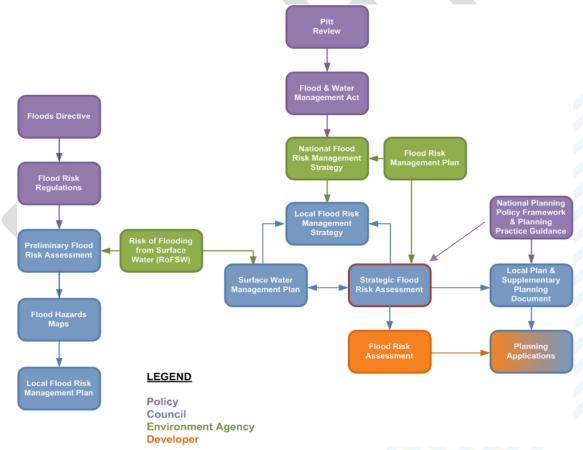
Residual flood risk from breach or overtopping of defences must be managed for any new development. Detailed mitigation must be agreed through site-specific FRAs or through Level 2 SFRAs where it would be necessary to demonstrate site allocations would be safe for their lifetime.

### 4 The planning framework and flood risk policy

Appendix A of the SFRA provides an overview of the key planning and flood risk policy documents that have shaped the current planning framework. There are many documents, plans and studies relevant to flood risk and development, hence why this overview has been included as an appendix to this main report. Appendix A also discusses the LLFA's and LPA's responsibilities and duties in respect to managing local flood risk including but not exclusive to the delivery of the requirements of the Flood Risk Regulations (FRR) 2009 and the Flood and Water Management Act (FWMA) 2010<sup>8</sup>.

Figure 4-1 illustrates the links between legislation, national policy, statutory documents and assessment of flood risk. The figure shows that whilst the key pieces of legislation and policy are separate, they are closely related, and their implementation should aim to provide a comprehensive and planned approach to asset record keeping and improving flood risk management within communities.

It is intended that the non-statutory Surface Water Management Plans (SWMPs) and SFRAs can provide much of the base data required to support the delivery of the LLFA's statutory flood risk management tasks as well supporting local authorities in developing capacity, effective working arrangements and informing Local Flood Risk Management Strategies (LFRMS) and Local Plans, which in turn help deliver flood risk management infrastructure and sustainable new development at a local level. This SFRA should be used to support the updating of the Local Plan and to help inform planning decisions.



#### Figure 4-1: Key documents and strategic planning links with flood risk

8 https://www.legislation.gov.uk/ukpga/2010/29/pdfs/ukpga\_20100029\_en.pdf

# 5 Understanding flood risk in Cotswold District

#### 5.1 Flood risk datasets

This section of the SFRA provides a strategic overview of flood risk from all sources within the Cotswold District. The information contained is the best available at the time of publication and is intended to provide an overview of risk. Table 5-1 provides a summary of the key datasets used in this SFRA according to the source of flooding.

Flood Source	Datasets/Studies
Fluvial	EA Flood Map for Planning (Rivers and Sea) (downloaded November 2021)
	EA Risk of Flooding from Rivers and Sea map
	Modelled Flood Outlines (MFO) from latest available EA Flood Risk Mapping Studies
	EA Historic Flood Map (HFM) (downloaded November 2021)
	EA Recorded Flood Outlines (RFO) (downloaded November 2021)
	EA Areas Benefitting from Flood Defences (ABD) (downloaded November 2021)
	EA Flood Warning Areas (FWA) (downloaded November 2021)
Pluvial (surface water runoff)	EA Risk of Flooding from Surface Water (RoFSW) (downloaded November 2021)
	Preliminary Flood Risk Assessment (2017)
Sewer	Severn Trent Hydraulic Sewer Flooding Risk Register (2021)
	Thames Water Historical Flood Incident Data (2021)
	Wessex Water Historical Flood Incident Data (2021)
Groundwater	JBA 5m Resolution Groundwater Flood Map (2020)
Reservoir	EA Reservoir Flood Maps (available online)
All sources	Thames Flood Risk Management Plan 2015 to 2021
	Severn Flood Risk Management Plan 2015 to 2021
	Thames River Basin Management Plan (June 2018)
	Severn River Basin Management Plan (June 2018)
	River Thames & Bristol Avon Catchment Flood Management Plans (2009)
	Gloucestershire Local Flood Risk Management Strategy (2016)
	Cotswold Level 1 SFRA 2014
	CDC Flooded Property Database (2020)
Flood risk management infrastructure	EA Spatial Flood Defence data (May 2021)

#### Table 5-1: Flood source and key datasets

#### 5.2 Fluvial flooding

Fluvial flooding is associated with the exceedance of channel capacity during higher flows or as a result of blockage. The process of flooding from watercourses depends on a number of



characteristics associated with the catchment including geographical location and variation in rainfall; steepness of the channel and surrounding floodplain; and infiltration and rate of runoff associated with urban and rural catchments.

The SFRA Maps in Appendix B present the EA's Flood Map for Planning which shows the fluvial coverage of flood zones 2 and 3 across the study area.

#### 5.2.1 EA Flood Map for Planning (Rivers and Sea)

The EA's Flood Map for Planning is the main dataset used by planners for predicting the location and extent of fluvial and tidal flooding. This is supported by the CFMPs and FRMPs along with a number of detailed hydraulic river modelling reports which provide further detail on flooding mechanisms.

The Flood Map for Planning provides flood extents for the 1 in 100 AEP (1%) fluvial event and 1 in 200 AEP (0.5%) tidal event (Flood Zone 3) and the 1 in 1000 AEP fluvial and tidal flood events (Flood Zone 2). Flood zones were originally prepared by the EA using a methodology based on the national digital terrain model (NextMap), derived river flows from the Flood Estimation Handbook (FEH) and two-dimensional flood routing. Since their initial release, the EA has regularly updated its flood zones with detailed hydraulic model outputs as part of their national flood risk mapping programme.

The Flood Map for Planning is precautionary in that it does not take account of flood defence infrastructure (which can be breached, overtopped or may not be in existence for the lifetime of the development) and therefore, represents a worst-case scenario of flooding. The flood zones do not consider sources of flooding other than fluvial and tidal and do not take account of climate change. As directed by the FRCC-PPG, this SFRA subdivides Flood Zone 3 into Flood Zone 3a and Flood Zone 3b (functional floodplain – see Section 5.2.2).

The EA also provides a 'Risk of Flooding from Rivers and Sea Map'. This map shows the EA's assessment of the likelihood of flooding from rivers and the sea, at any location and is based on the presence and effect of all flood defences, predicted flood levels and ground levels. This dataset is not used in the assessment of flood risk for planning applications but is a useful source of information to show the presence and effects of flood risk management infrastructure. This dataset is further discussed in Section 5.2.3.

This SFRA uses the Flood Map for Planning issued in November 2021 to assess fluvial risk to assessed sites, as per the NPPF and the accompanying FRCC-PPG. The Flood Map for Planning is updated at quarterly intervals by the EA, as and when new modelling data becomes available. The reader should therefore refer to the online version of the Flood Map for Planning to check whether the flood zones may have been updated since November 2021:

https://flood-map-for-planning.service.gov.uk/

#### 5.2.2 Functional floodplain (Flood Zone 3b)

The functional floodplain forms a very important planning tool in making space for flood waters when flooding occurs. Development should be directed away from these areas.

Table 1, Paragraph 065 of the FRCC-PPG defines Flood Zone 3b as:

"...land where water has to flow or be stored in times of flood. Local planning authorities should identify in their Strategic Flood Risk Assessments areas of functional floodplain and its boundaries accordingly, in agreement with the Environment Agency."

Paragraph 015 of the FRCC-PPG explains that:

"...the identification of functional floodplain should take account of local circumstances and not be defined solely on rigid probability parameters. However, land which would naturally flood with an annual probability of 1 in 20 (5%) or greater in any year, or is designed to



flood (such as a flood attenuation scheme) in an extreme (0.1% annual probability) flood, should provide a starting point to help identify the functional floodplain.

The area identified as functional floodplain should take into account the presence and effect of all flood risk management infrastructure including defences. Areas which would naturally flood, but which are prevented from doing so by existing defences and infrastructure or solid buildings, will not normally be identified as functional floodplain. If an area is intended to flood, e.g. an upstream flood storage area designed to protect communities further downstream, then this should be safeguarded from development and identified as functional floodplain, even though it might not flood very often."

The EA's most up-to-date Historic Flood Map (HFM), Areas Benefitting from Defences (ABD), Recorded Flood Outlines (RFO) and Flood Storage Areas (FSA) datasets were assessed with regards to using them to update the existing 2014 functional floodplain where appropriate.

Additionally, the available modelled flood outlines used to create the functional floodplain included:

- Bledlington Brook model: 2012 5% AEP fluvial defended;
- Churn (baunton to Siddington) model: 2011 5% AEP fluvial defended;
- Thames (MRL to St Johns) model: 2014 5% AEP fluvial defended; and
- Windrush (Bourton-on-the-Water) model: 2014 5% AEP fluvial defended.

The functional floodplain outline was assessed and agreed upon by the LPA, the LLFA and the EA, based on their local knowledge. A technical note is provided in Appendix D which explains the methodology used in creating the functional floodplain outline.

The following definition of the functional floodplain was agreed:

- Use the modelled 1 in 20-year defended flood extent wherever suitable hydraulic models are available.
- Elsewhere, take a precautionary approach and assume that Flood Zone 3a (1 in 100-year flood extent) represents the functional floodplain

#### 5.2.3 EA Risk of Flooding from Rivers and the Sea map

This Risk of Flooding from Rivers and Sea map (RoFRS) shows the likelihood of flooding from rivers and the sea based on the presence and effect of all flood defences, predicted flood levels and ground levels and is shown on the Appendix B maps. The RoFRS map splits the likelihood of flooding into four risk categories:

- High greater than or equal to 1 in 30 AEP event (3.3%) chance in any given year;
- Medium less than 1 in 30 AEP event (3.3%) but greater than or equal to 1 in 100 AEP event (1%) chance in any given year;
- Low less than 1 in 100 AEP event (1%) but greater than or equal to 1 in 1000 AEP flood event (0.1%) chance in any given year; and
- Very Low less than 1000 AEP event (0.1%) chance in any given year.

The RoFRS map is included on the SFRA maps to act as a supplementary piece of information to assist the LPA in the decision-making process for site allocation.

This dataset is not suitable for use with any planning application, nor should it be used for the sequential testing of site allocations. The EA's Flood Map for Planning should be used for all planning purposes, as per the FRCC-PPG.



#### 5.3 Surface water flooding

Surface water flood risk should be afforded equal standing in importance and consideration as fluvial and groundwater flood risk, given the increase in rainfall intensities due to climate change and the increase in impermeable land use due to development.

Surface water flooding, in the context of this SFRA, includes:

- Surface water runoff (also known as pluvial flooding); and
- Sewer flooding.

There are certain locations, generally within urban areas, where the probability and consequence of pluvial and sewer flooding are more prominent due to the complex hydraulic interactions that exist in the urban environment. Urban watercourse connectivity, sewer capacity and the location and condition of highway gullies all have a major role to play in surface water flood risk.

Paragraph 013 of the FRCC-PPG states that SFRAs should address surface water flooding issues by identifying areas of surface water flooding and areas where there may be drainage issues that can cause surface water flooding. The EA's Risk of Flooding from Surface Water (RoFSW) map along with information within the LFRMS (see Section A.6.1 of Appendix A) should assist with this and various mitigative measures, i.e. SuDS, should be identified. Section 6.8 provides guidance on mitigation options and SuDS for developers.

It should be acknowledged that once an area is flooded during a large rainfall event, it is often difficult to identify the route, cause and ultimately the source of flooding without undertaking further site-specific and detailed investigations.

#### 5.3.1 Pluvial flooding

Pluvial flooding of land from surface water runoff is usually caused by intense rainfall that may only last a few hours. In these instances, the volume of water from rural land can exceed infiltration rates in a short amount of time, resulting in the flow of water over land. Within urban areas, this intensity can be too great for the urban drainage network resulting in excess water flowing along roads, through properties and ponding in natural depressions. Areas at risk of pluvial flooding can, therefore, lie outside of the fluvial flood zones.

Pluvial flooding within urban areas across the country will typically be associated with events greater than the 1 in 30 AEP (3.3%) design standard of new sewer systems. Some older sewer and highway drainage networks will have a lower capacity than is required to mitigate for the 1 in 30 AEP event. There is also residual risk associated with these networks due to possible network failures, blockages or collapses.

#### Risk of Flooding from Surface Water dataset

The Risk of Flooding from Surface Water (RoFSW), formally referred to as the updated Flood Map for Surface Water (uFMfSW) is the third-generation national surface water flood map, produced by the EA, aimed at helping to identify areas where localised, flash flooding can cause problems even if the Main Rivers are not overflowing. The RoFSW, used in this SFRA to assess risk from surface water, has proved extremely useful in supplementing the EA Flood Map for Planning by identifying areas in Flood Zone 1, which may have critical drainage problems.

NOTE: EA guidance on the use of the RoFSW states: "This dataset is not suitable for identifying whether an individual property will flood. It should not be used with base mapping more detailed than 1:10,000 as the data is open to misinterpretation if used as a more detailed scale. Because of the way the map has been produced and the fact that it is indicative, the map is not appropriate to act as the sole evidence for any specific planning or regulatory decision or assessment of risk in relation to flooding at any scale without further supporting studies or evidence."

The RoFSW also presents a worst case scenario, therefore, any sites identified to be at risk from surface water flooding according to the RoFSW should be assessed in more detail,



following this Level 1 SFRA, either as part of a Level 2 SFRA or at the FRA stage which should include an appropriately detailed drainage strategy.

The RoFSW includes surface water flood outlines, depths, velocities and hazards for the following events:

- 1 in 30 AEP event (3.3%) high risk;
- 1 in 100 AEP event (1%) medium risk; and
- 1 in 1000 AEP event (0.1%) low risk.

The outlines of the RoFSW are presented on the SFRA maps in Appendix B.

The EA produced a guidance document, updated in April 2019, explaining the methodology applied in producing the map.

#### 5.3.2 Sewer flooding

Combined sewers spread extensively across urban areas serving residential homes, business and highways, conveying waste and surface water to treatment works. Combined Sewer Overflows (CSOs) provide an EA consented overflow release from the drainage system into local watercourses or surface water systems during times of high flows. Some areas may also be served by separate waste and surface water sewers which convey wastewater to treatment works and surface water into local watercourses or combined sewers.

Flooding from the sewer network can occur when flow entering the system, such as an urban storm water drainage system, exceeds its available discharge capacity, the system becomes blocked or it cannot discharge due to a high water level in the receiving watercourse. Pinch points and failures within the drainage network may also restrict flows. Water then begins to back up through the sewers and surcharge through manholes, potentially flooding highways and properties. It must be noted that sewer flooding in 'dry weather' resulting from blockage, collapse or pumping station mechanical failure (for example), is the sole concern of the drainage undertaker.

Wessex Water (WW), Severn Trent (ST) and Thames Water (TW) are the water companies responsible for the management of the public sewer drainage network across the district.

#### 5.3.3 Areas with Critical Drainage Problems

The EA can designate Areas with Critical Drainage Problems (ACDPs). ACDPs may be designated where the EA is aware that development within a certain catchment/drainage area could have detrimental impacts on fluvial/tidal flood risk downstream, and/or where the EA has identified existing fluvial/tidal flood risk issues that could be exacerbated by upstream activities. In these instances, the EA would work with the LLFA and LPA to ensure that adequate surface water management measures are incorporated into new development to help mitigate fluvial/tidal flood risk.

EA guidance on carrying out Flood Risk Assessments<sup>9</sup> states that an FRA should be carried out for sites in Flood Zone 1 that are...

"...in an area with critical drainage problems as notified by the Environment Agency."

At the time of writing there are no delineated ACDPs in CDC.

#### 5.3.4 Locally agreed surface water information

EA guidance, from within the FWMA<sup>10</sup>, on using surface water flood risk information recommends that GCC, as LLFA, should:

<sup>9</sup> https://www.gov.uk/guidance/flood-risk-assessment-in-flood-zone-1-and-critical-drainage-areas

<sup>10</sup> https://www.legislation.gov.uk/ukpga/2010/29/pdfs/ukpga\_20100029\_en.pdf



"...review, discuss, agree and record, with the Environment Agency, Water Companies, Internal Drainage Boards and other interested parties, what surface water flood data best represents their local conditions. This will then be known as locally agreed surface water information".

Locally agreed surface water information either consists of:

- The RoFSW map; or
- Compatible local mapping if it exists i.e. from a SWMP; or
- A combination of both these datasets for defined locations in the LLFA area.

GCC have not developed SWMP's that cover the Cotswold District. CDC should consider the RoFSW to be its locally agreed surface water flood information as this is the latest, most robust surface water flood map available for the administrative area, at the time of writing.

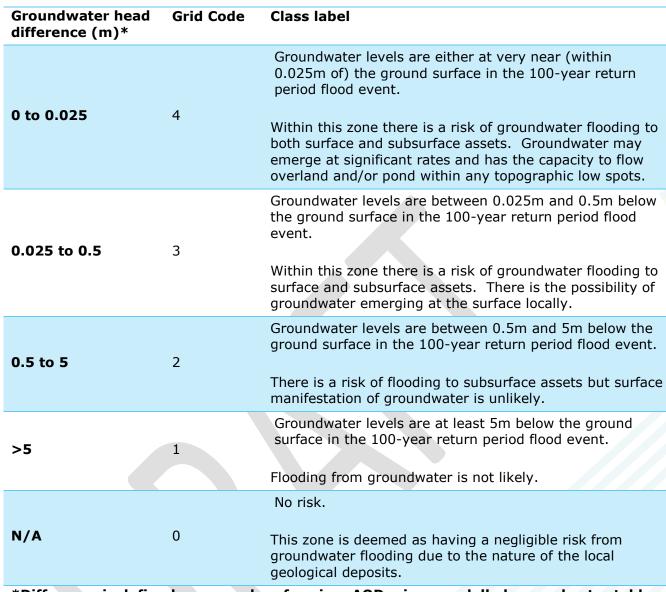
#### 5.4 Groundwater flooding

Groundwater flood risk should be afforded equal standing in importance and consideration as fluvial and surface water flood risk.

Groundwater flooding is caused by the emergence of water from beneath the ground, either at point or diffuse locations. The occurrence of groundwater flooding is usually local and unlike flooding from rivers and the sea, does not generally pose a significant risk to life due to the slow rate at which the water level rises. However, groundwater flooding can cause significant damage to property, especially in urban areas and can pose further risks to the environment and ground stability.

There are several mechanisms that increase the risk of groundwater flooding including prolonged rainfall, high in-bank river levels, artificial structures, groundwater rebound and mine water rebound. Properties with basements or cellars or properties that are located within areas deemed to be susceptible to groundwater flooding are at particular risk. Development within areas that are susceptible to groundwater flooding will generally not be suited to SuDS; however, this is dependent on detailed site investigation and risk assessment at the FRA stage.

This SFRA uses groundwater data in the form of JBA's 5m groundwater map, which provides a general broadscale assessment of the groundwater flood hazard. The good practice guide to producing SFRAs, developed by the EA and published December 2021, recommends the use of this dataset in SFRAs. The map is categorised by grid code where each code is explained in Table 5-2.



# \*Difference is defined as ground surface in mAOD minus modelled groundwater table in mAOD.

#### Table 5-2: Groundwater flood hazard classification of JBA groundwater map

This dataset shows that the areas with grid codes of 3 and 4 are located in the south, around areas located along the River Thames, and to the north surrounding Moreton-in-Marsh and Stow-on-the-Wold. Much of the District is categorised as grid codes 0 and 1, with increasing grid codes towards the south of the district.

It is important to ensure that future development is not placed at unnecessary risk therefore groundwater flood risk should be considered on a site-by-site basis in development planning.

Where potential development sites are shown to lie within areas that are susceptible to groundwater flooding, detailed hydrogeological investigation and risk assessment should be carried out at the Flood Risk Assessment stage to fully understand the risk from this source. Groundwater flood risk should be considered particularly when determining the acceptability of SuDS schemes as a way of managing surface water drainage. Developers should consult with the LPA, the LLFA and the EA at an early stage of any site-specific groundwater assessment.

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The groundwater vulnerability dataset is shown on the SFRA Maps in Appendix B.

#### 5.5 Canal and reservoir flood risk

#### 5.5.1 Canals

Non-natural or artificial sources of flooding can include canals where water is retained above natural ground level. The risk of flooding along a canal is considered to be residual and is dependent on a number of factors. As canals are manmade systems that are heavily controlled, it is unlikely they will respond in the same way as a natural watercourse during a storm event. Flooding is more likely to be associated with residual risks, similar to those associated with river defences, such as overtopping of canal banks, breaching of embanked reaches or asset (gate) failure as highlighted in Table 5-3. Canals can also have a significant interaction with other sources, such as watercourses that feed them and minor watercourses or drains that cross underneath.

Potential Mechanism	Significant Factors
Leaking causing erosion and rupture of canal	Embankments
lining leading to breach	Sidelong ground
	Culverts
	Aqueduct approaches
Collapse of structures carrying the canal above	Aqueducts
natural ground level	Large diameter culverts
	Structural deterioration or accidental damage
Overtopping of canal banks	Low freeboard
	Waste weirs
Blockage or collapse of conduits	Culverts

#### Table 5-3: Canal flooding

The risks associated with these events are also dependent on their potential failure location with the consequence of flooding higher where floodwater could cause the greatest harm due to the presence of local highways and adjacent property.

There is one canal located within the District. The Thames and Severn Canal is located at the northern extent of the District and runs parallel to the River Frome for much of its length. There are no records of breach or overtopping of this canal in the District. The Canal and River Trust has indicated that there are no raised sections of canals within the Cotswold District.

At present canals do not have a level of service for flood recurrence (i.e. there is no requirement for canals to be used in flood mitigation), although the Canal and River Trust, as part of its function, will endeavour to maintain water levels to control the risk of flooding from canals to adjacent properties. It is important, however, that any development proposed adjacent to a canal be investigated on an individual basis regarding flooding issues and should be considered as part of any FRA.

#### 5.5.2 Reservoirs

A reservoir can usually be described as an artificial lake where water is stored for use. Some reservoirs supply water for household and industrial use, others serve other purposes, for example, as fishing lakes or leisure facilities. Like canals, the risk of flooding associated with reservoirs is residual and is associated with failure of reservoir outfalls or breaching. This risk is reduced through regular maintenance by the operating authority.



Reservoirs in the UK have an extremely good safety record with no incidents resulting in the loss of life since 1925.

The EA is the enforcement authority for the Reservoirs Act 1975 in England and Wales, with the FWMA amending this Act. All large reservoirs must be regularly inspected and supervised by reservoir panel engineers. LAs are responsible for coordinating emergency plans for reservoir flooding and ensuring communities are well prepared. The LPAs should work with other members of the Gloucestershire Local Resilience Forum to develop these plans. See Section 7.1.1 for more information on the Gloucestershire Local Resilience Forum.

Paragraph 014 of the FRCC-PPG states that, in relation to development planning and reservoir dam failure:

"the local planning authority will need to evaluate the potential damage to buildings or loss of life in the event of a dam failure, compared to other risks, when considering development downstream of a reservoir. Local planning authorities will also need to evaluate in Strategic Flood Risk Assessments (and when applying the Sequential Test) how an impounding reservoir will modify existing flood risk in the event of a flood in the catchment it is located within, and/or whether emergency draw-down of the reservoir will add to the extent of flooding."

#### 5.5.3 Reservoir Flood Map (RFM)

The EA has produced Reservoir Flood Maps (RFM) for all large reservoirs that they regulated under the Reservoirs Act 1975 (reservoirs that hold over 25,000 cubic metres of water). The FWMA updated the Reservoirs Act and targeted a reduction in the capacity at which reservoirs should be regulated from 25,000m<sup>3</sup> to 10,000m<sup>3</sup>. This reduction is, at the time of writing, yet to be confirmed meaning the requirements of the Reservoirs Act 1975 should still be adhered to.

In November 2021, the EA produced the RFM guidance 'Reservoir flood maps: when and how to use them', which provides information on how the maps were produced and what they contain.

The RFM can be viewed nationally at:

https://environment.data.gov.uk/reservoir-flood-maps

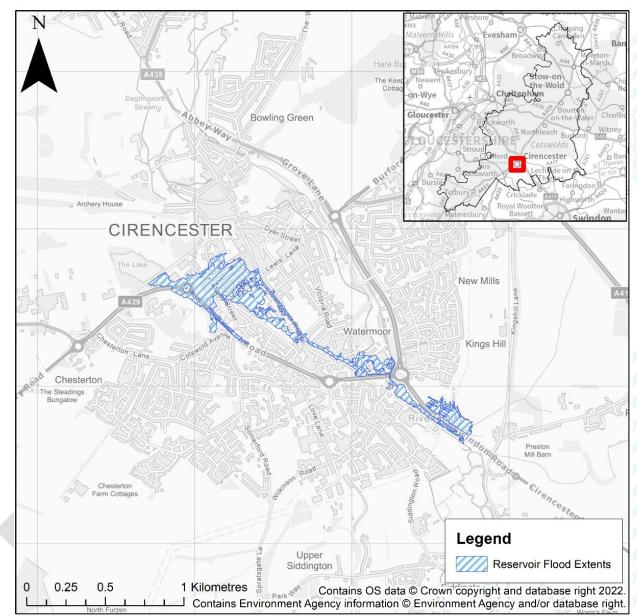
The RFM shows that there are two large-raised reservoirs within the CDC boundary. Figure 5-1 highlights the Risk of Flooding from Reservoirs extent from Cirencester Park reservoir. The other large-raised reservoir within the CDC boundary is located along the border of the district boundary and the flood extent flows out of CDC into Cheltenham District.

The RFM extent shows the worst credible area that is susceptible to dam breach flooding. The map should be used to prioritise areas for evacuation/early warning. It is worth considering that reservoirs within the UK have an extremely good safety record with no incidents resulting in the loss of life since 1925.

If development is proposed downstream of a reservoir, there will need to be an assessment of whether work is needed to improve the design or maintenance of the reservoir. Together with the reservoir undertakers, the LPA should look to avoid an intensification of development within the risk areas and/or ensure that reservoir undertakers can assess the cost implications of any reservoir safety improvements required due to changes in land use downstream of these assets.

The LPA will need to evaluate:

- The potential damage to buildings or loss of life in the event of dam failure compared to other risks;
- How an impounding reservoir will modify existing flood risk in the event of a flood in the catchment is location within and/or whether emergency draw-down of the reservoir will add to the extent of flooding; and



• Emergency planning requirements with appropriate officers to ensure safe sustainable development.

#### Figure 5-1: Risk of Flooding from Reservoirs in the CDC area

#### 5.6 Historic flooding

GCC, as LLFA, is required, under the FWMA, to maintain and update its historic flood incidents database as and when any locally significant flood incidents occur. The LLFA has a statutory responsibility to investigate and report upon any 'significant' flood events.

The LFRMS (2014) identified that the district has a long history with flooding, with flood events occurring throughout the last 80 years. Notable flood events occurred in the Summer of 2007 and the winter of 2012, due to heavy rainfall causing both surface water and fluvial flooding.

As many of these incidents are at the property level and considered as sensitive information, they will only be shown at the smaller scale of the whole authority. Figure 5-2 shows GCC, CDC and the relevant water companies recorded historic flood incidents within

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CDC, which includes multiple sources of flooding. The historic (compiled) dataset that was provided by the LLFA includes flooding of property, gardens to property, highways and footpaths.

Appendix I details historic flooding information for CDC and summarises impacted roads and businesses.

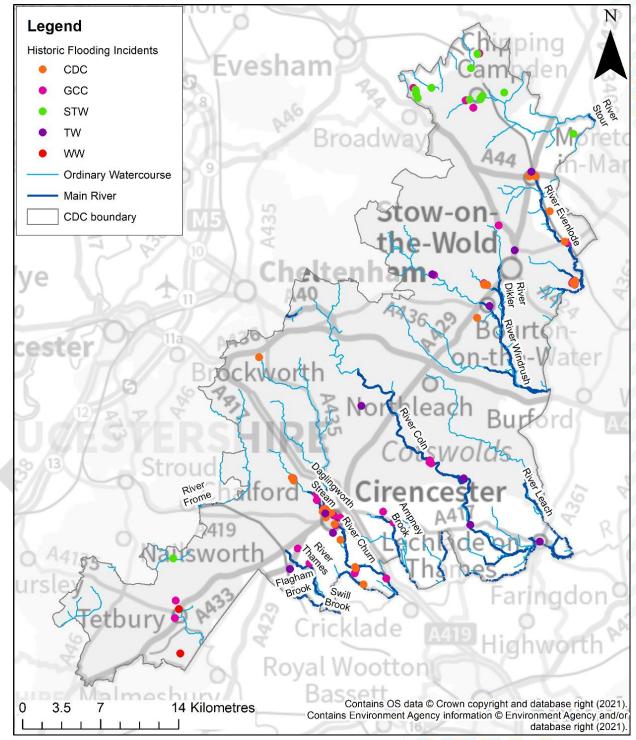


Figure 5-2: CDC, GCC and water company historic flood incidents



#### 5.6.1 Historic surface water flooding

The LFRMS states that the Summer 2007 flooding was caused in part from fluvial sources, however also as a result of surface water overloading the drainage systems. This was an extended intense rainfall event following a relatively dry Spring. Approximately 5,000 homes and businesses were recorded as having been flooded during this event.

#### 5.6.2 Historic groundwater flooding

The 2014 SFRA stated that generally there are limited records of groundwater flooding within the district. There are several incidents recorded in the Cirencester and Siddington areas, and a few isolated incidents on the Great Oolite, most likely related to springs.

#### 5.6.3 EA Historic Flood Map (HFM)

The Historic Flood Map (HFM) is a spatial dataset showing the maximum extent of all recorded historic flood outlines from river, sea and groundwater and shows areas of land that have previously been flooded across England. Records began in 1946 when predecessor bodies to the EA started collecting information about flooding incidents. The HFM accounts for the presence of defences, structures, and other infrastructure where such existed at the time of flooding. It includes flood extents that may have been affected by overtopping, breaches or blockages. It is also possible that historic flood extents may have changed and that some areas would not flood at present i.e., if a flood defence has been built.

The HFM does not contain any information regarding the specific flood source, return period or date of flooding, nor does the absence of the HFM in an area mean that the area has never flooded, only that records of historic flooding do not exist. The Recorded Flood Outlines (RFO) dataset however does include details of flood events. The difference between the two datasets is that the HFM only contains flood outlines that are `considered and accepted' by the EA following adequate verification using certain criteria.

In relation to CDC, the HFM and RFO show areas of historic flooding around Fairford, South Cerney, Kemble, Somerford Keynes, Cirencester, Lechlade-on-Thames, Bourton-on-the-Water, Bledington and Moreton-in-Marsh.

The HFM and RFO datasets are shown on the SFRA maps in Appendix B.

#### 5.7 Flood risk management

The aim of this section of the SFRA is to identify existing Flood Risk Management (FRM) assets and previous/proposed FRM schemes. The location, condition and design standard of existing assets will have a significant impact on actual flood risk mechanisms. Whilst future schemes in high flood risk areas carry the possibility of reducing the probability of flood events and reducing the overall level of risk. Both existing assets and future schemes will have a further impact on the type, form and location of new development or regeneration.

#### 5.7.1 EA inspected assets (Spatial Flood Defences)

The EA maintains a spatial dataset called the Spatial Flood Defences dataset. This national dataset contains such information as:

- Asset type (flood wall, embankment, high ground, demountable defence, bridge abutment);
- Flood source (fluvial, tidal, fluvial and tidal combined);
- Design Standard of Protection (SoP);
- Asset length;
- Asset age;



- Asset location; and
- Asset condition.

See Table 5-4 for condition assessment grades using the EA's Condition Assessment Manual<sup>11</sup> (CAM).

The design standard of protection (SoP) for a flood defence is a measure of how much protection a flood defence gives. If the SoP is 100, the defence protects against a flood with the probability of occurring once in 100 years.

Grade	Rating	Description
1	Very Good	Cosmetic defects that will have no impact on performance
2	Good	Minor defects that will not reduce the overall performance of the asset
3	Fair	Defects that could reduce the performance of the asset
4	Poor	Defects that would significantly reduce the performance of the asset. Further investigation needed.
5	Very Poor	Severe defects resulting in complete performance failure.

#### Table 5-4: EA flood defence condition assessment grades

Defence Location	Asset Type	Flood Source	Watercourse	Design Standard	Condition grade
Somerford Keynes	2 embankments	Fluvial	River Thames	50 (2)	N/A
South Cerney	3 embankments	Fluvial	River Churn	5 (3)	2 (3)
	1 wall			N/A (1)	3 (1)
Cirencester	4 embankments	Fluvial	River Churn	5 (1)	1 (2)
	3 walls			N/A (6)	2 (1)
					3 (3)
					N/A (1)
Fairford	2 embankments	Fluvial	River Coln	N/A (7)	1 (3)
	5 walls				N/A (4)
Bourton-on-	3 embankments	Fluvial	River	75 (4)	1 (1)
the-Water	1 wall		Windrush		2 (3)
Number in brackets = number of assets					

Table 5-5: Major flood defences within the CDC boundary

11 Environment Agency. (2012). Visual Inspection Condition Grades. In: EA Condition Assessment Manual. Bristol: Environment Agency. P9.



Table 5-5 highlights the main locations within the area that have significant FRM assets which are located in Somerford Keynes, South Cerney, Cirencester, Fairford and Bourton-on-the-Water.

There are 14 embankments with varying design standards, that have been assessed at condition grades 2 or 3 meaning the condition is rated as 'Good' or 'Fair' according to the EA's Condition Assessment Manual (as discussed in Table 5-4) meaning that there are some assets where defects could reduce performance of the structure.

Along the majority of the Main Rivers within CDC's authority area, there are only areas of high ground offering protection from fluvial flooding, with no formal defences. The condition grade of the majority of these defences is stated as 2/3, which means 'Good/Fair', as per the EA's Condition Assessment Manual meaning there could be defects that could reduce the performance of the asset or the defects are only minor and would not compromise performance.

The Spatial Flood Defences dataset is shown on the Interactive Maps in Appendix B.

As well as the ownership and maintenance of a network of formal defence structures, the EA carries out a number of other flood risk management activities that help to reduce the probability of flooding, whilst also addressing the consequences of flooding. These include:

- Maintaining and improving the existing flood defences, structures and watercourses;
- Enforcement and maintenance where riparian owners unknowingly carry out work that may be detrimental to flood risk;
- Identifying and promoting new flood alleviation schemes (FAS), were appropriate;
- Working with local authorities to influence the location, layout and design of new and redeveloped property and ensuring that only appropriate development is permitted relative to the scale of flood risk;
- Operation of Floodline Warnings Direct and warning services for areas within designated Flood Warning Areas (FWA) or Flood Alert Areas (FAA). EA FWAs are shown on the SFRA Maps in Appendix B;
- Promoting awareness of flooding so that organisations, communities and individuals are aware of the risk and therefore sufficiently prepared in the event of flooding; and
- Promoting resilience and resistance measures for existing properties that are currently at flood risk, or may be in the future as a result of climate change.

#### 5.7.2 GCC assets and future Flood Risk Management schemes

The LLFA owns and maintains a number of assets throughout the district which includes culverts, bridge structures, gullies, weirs and trash screens. The majority of these assets lie along ordinary watercourses within smaller urban areas where watercourses may have been culverted or diverted, or within rural areas. All these assets can have flood risk management functions as well as an effect on flood risk if they become blocked or fail. In most cases responsibility lies with the riparian/landowner. Notable culvert features within CDC can be found in Table 5-6.

Settlement **Culvert description** Andoversford Culvert under Station Road Chipping Campden Guild Twin culvert, Blind Lane/Dyer's Lane culverts Cirencester Culverts under Spitalgate Lane Lechlade **Butlers** Court Moreton-in-Marsh Swan Close, Queen Street The culvert which passes under High Street, the A429, Co-op and the railway. The culvert beneath the A44 Northleach Culvert under old prison and West End South Cerney Lower Mill, Upper Mill and School Lane. Weston-sub-Edge B4632, Manor Farm and Parsons Lane Willersey Campden Lane, Broadway Road, Collin Lane, Willow Road

# Table 5-6: Notable culvert features within CDC

GCC (as the LLFA), under the provisions of the FWMA, has a duty to maintain a register of structures or features that have a significant effect on flood risk, including details of ownership and condition as a minimum. The Asset Register should include those features relevant to flood risk management function including feature type, description of principal materials, location, measurements (height, length, width, diameter) and condition grade. The Act places no duty on the LLFA to maintain any third-party features, only those for which the authority has responsibility as land/asset owner.

The LLFA should carry out a strategic assessment of structures and features on the FRM Asset Register to inform capital programme and prioritise maintenance programme. Critical assets (i.e. culverts in poor condition) to be prioritised for designated works.

At the time of writing, there are no current proposed future Flood Risk Management schemes within CDC.

# 5.7.3 Water company assets

The sewerage infrastructure within CDC's administrative area may have a risk of localised flooding associated with the existing drainage capacity and sewer system. Wessex Water, Severn Trent and Thames Water are responsible for the management of the adopted sewerage system for their areas. This includes surface water and foul sewerage. There may however be some private surface water sewers in the area as only those connected to the public sewer network that were transferred to the water companies under the Private Sewer Transfer in 2011 are likely to have been constructed since this transfer date. Surface water sewers discharging to watercourses were not part of this transfer and would therefore not be under the ownership of WW, ST or TW, unless adopted under a Section 104 adoption agreement.

Water company assets include Wastewater Treatment Works, Combined Sewer Overflows, pumping stations, detention tanks, sewer networks and manholes.

#### 5.7.4 Natural Flood Management/Working with Natural Processes

Natural flood management (NFM) or Working with Natural Processes (WwNP) is a type of flood risk management used to protect, restore and re-naturalise the function of catchments and rivers to reduce flood and coastal erosion risk. WwNP has the potential to provide environmentally sensitive approaches to minimising flood risk, to reduce flood risk in areas where hard flood defences are not feasible and to increase the lifespan of existing flood defences. NFM and WwNP are used interchangeably in the UK though the term WwNP will be used throughout this report.

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A wide range of techniques can be used that aim to reduce flooding by working with natural features and processes in order to store or slow down flood waters before they can damage flood risk receptors (e.g. people, property, infrastructure, etc.). WwNP involves taking action to manage flood and coastal erosion risk by protecting, restoring and emulating the natural regulating functions of catchments, rivers, floodplains and coasts.

UK Government are actively encouraging the implementation of WwNP measures within catchments and coastal areas in order to assist in the delivery of environmental protection and national policies. It is expected that the implementation of WwNP across the UK will continue to become a fundamental component of the flood risk management tool kit due to climate change.

# Evidence base for WwNP to reduce flood risk

There has been much research on WwNP, but to date it has never been synthesised into one location. This has meant that it has been hard for flood risk managers to access up-to-date information on WwNP measures and to understand their potential benefits. The EA has produced the WwNP evidence base published in 2017 which includes three interlinked projects:

- Evidence directory;
- Mapping the potential for WwNP; and
- Research gaps.

The evidence base can be accessed via:

https://www.gov.uk/government/publications/working-with-natural-processes-to-reduce-flood-risk

The evidence base can be used by those planning projects which include WwNP measures to help understand:

- Their potential FCRM benefits and multiple benefits;
- Any gaps in knowledge;
- Where it has been done before and any lessons learnt; and
- Where in a catchment they might not be most effective.

The evidence directory presents the evidence base, setting out the scientific evidence underpinning it. Its purpose is to help flood risk management practitioners and other responsible bodies access information which explains what is known and what is not about the effectiveness of the measures from a flood risk perspective. There is also a guidance document which sits alongside the evidence directory and the maps which explains how to use them to help make the case for implementing WwNP when developing business cases.

#### Mapping the potential for WwNP

The JBA Trust has worked with Lancaster Environment Centre (LEC) to produce an interactive catalogue of nature-based flood risk management projects in the UK. This map includes a catalogue of projects where WwNP is being applied on the ground or being considered as an option to reduce flood risk. Additionally, the map includes a set of layers that indicates the potential areas where WwNP would be beneficial based on research by the EA.

National maps for England make use of different mapping datasets and highlight the potential areas for tree-planting (for three different types of planting), runoff attenuation storage, gully blocking and floodplain reconnection. The maps can be used to signpost areas of potential and do not take into account issues such as landownership and drainage infrastructure, but they may well help start the conversation and give indicative estimates of, for example, additional distributed storage in upstream catchments.



These maps are intended to be used alongside the evidence directory to help practitioners think about the types of measure that may work in a catchment and the best places in which to locate them. There are limitations with the maps, however it is a useful tool to help start dialogue with key partners. The maps are provided as spatial data for use in GIS and also interactive GeoPDF format, supported by a user guide and a detailed technical guide.

The WwNP types are listed in Table 5-7.

WWNP Type	Open data licence details		
Floodplain reconnection	<ul> <li>Risk of Flooding from Rivers and Seas (April 2017)</li> </ul>		
reconnection	<ul> <li>Data derived from the Detailed River Network, which is not displayed, rescinding the licence requirements for displaying the dataset (to be superseded by OS Water Network but not available for project in time).</li> </ul>		
	Constraints data		
Run-off attenuation features	<ul> <li>Data derived from Risk of Flooding from Surface Water (Depth 1 percent annual chance and Depth 3.3 percent annual chance) (October 2013). The original data is not displayed, due to licensing restrictions.<sup>2</sup></li> </ul>		
	Constraints data		
	<ul> <li>Gully blocking potential (a subset of run-off attenuation features on steeper ground)</li> </ul>		
	<ul> <li>Data derived from OS Terrain 50 (2016) to classify each run-off attenuation feature based on median slope.</li> </ul>		
Tree planting (3 categories)	Floodplain: Flood Zone 2 from Flood Map for Planning (April 2016) and new constraints layer		
	<ul> <li>Riparian: 50m buffer OS water features from Section 2.2.3 with constraints layer</li> </ul>		
	Wider catchment woodland:		
	<ul> <li>Based on slowly permeable soils.</li> </ul>		
	<ul> <li>BGS Geology 50,000 Superficial and Bedrock layers (both V8, 2017). Used with new science to derive new 100m gridded open data. This new layer can be used to signpost areas of SLOWLY PERMEABLE SOILS and can be checked in more detail on the BGS portal.</li> </ul>		
	<ul> <li>To the north of the line of Anglian glaciation, the presence of till-diamicton has been shown to be a strong predictor of slowly permeable soils.</li> </ul>		
	<ul> <li>To the south of this line, particular bedrock geologies have shown a similarly strong spatial relationship to the presence of slowly permeable soils.</li> </ul>		

Table 5-7: WwNP measures and data



The WwNP datasets are included on the SFRA Maps in Appendix B and should be used to highlight any sites or areas where the potential for WwNP should be investigated further as a means of flood mitigation:

- Floodplain Reconnection:
  - Floodplain Reconnection Potential areas of low or very low probability based on the Risk of Flooding from Rivers and Sea dataset (see Section 5.2.3), which are in close proximity to a watercourse and that do not contain properties, are possible locations for floodplain reconnection. It may be that higher risk areas can be merged, depending on the local circumstances.
- Runoff Attenuation Features (Run-off attenuation features are based on the premise that areas of high flow accumulation in the RoFSW) maps are areas where the runoff hydrograph may be influenced by temporary storage if designed correctly):
  - Runoff Attenuation Features 1% AEP
  - Runoff Attenuation Features 3.3% AEP
- Tree Planting
  - Floodplain Woodland Potential and Riparian Woodland Potential woodland provides enhanced floodplain roughness that can dissipate the energy and momentum of a flood wave if planted to obstruct significant flow pathways. Riparian and floodplain tree planting are likely to be most effective if close to the watercourse in the floodplain, which is taken to be the 0.1% AEP flood extent (Flood Zone 2) and within a buffer of 50 metres of smaller watercourses where there is no flood mapping available. There is a constraints dataset that includes existing woodland; and
  - Wider Catchment Woodland Potential slowly permeable soils have a higher probability of generating 'infiltration-excess overland flow' and 'saturation overland flow'. These are best characterised by gleyed soils, so tree planting can open up the soil and lead to higher infiltration and reduction of overland flow production.

#### Limitations

The effectiveness of WwNP measures is site-specific and depends on many factors, including the location and scale at which they are used. It may not always be possible to guarantee that these measures alone will deliver a specified standard of defence. Consequently, flood risk management measures should be chosen from a number of options ranging from traditional forms of engineering through to more natural systems. The research gaps that need to be addressed to move WwNP into the mainstream are identified in the evidence directory.

The key settlement sites with significant areas of potential for WwNP schemes are:

- Down Ampney
- Lechlade
- Moreton-in-Marsh
- Siddington
- South Cerney

An interactive map of nature-based flood risk management projects and potential projects can be found at:

https://naturalprocesses.jbahosting.com/Map



#### 5.7.5 EA flood risk management activities and Flood and Coastal Erosion Risk Management (FCERM) research and development

The FCERM Research and Development Programme is run by the EA and Defra and aims to serve the needs of all flood and coastal operating authorities in England. The programme provides the key evidence, information, tools and techniques to:

- Inform the development of FCERM policy and strategy;
- Understand and assess coastal and flood risk and the processes by which these risks arise;
- Manage flood and coastal erosion assets in a sustainable way; and
- Prepare for and manage flood events effectively.

Based on information publicly available from the EA, at the time of writing there are no ongoing EA flood risk management work programmes applicable to CDC. Follow the link below for the latest news:

https://www.gov.uk/government/publications/programme-of-flood-and-coastal-erosion-risk-management-schemes

# 6 Development and flood risk

# 6.1 Introduction

This section of the SFRA provides a strategic assessment of the suitability, relative to flood risk, of the assessed sites to be considered in the update to the Local Plan.

The information and guidance provided in this chapter (supported by the SFRA Maps in Appendix B, the Development Site Assessment spreadsheet in Appendix C and the sites screening assessment summary in Appendix E) can be used by the LPA to inform its Plan and provide the basis from which to apply the Sequential Approach in the development allocation and development management process.

There are several consequential development considerations which could come out of the site assessment sequential testing process. The LPA should refer to Appendix E and Appendix C, for details on the site assessments carried out for this SFRA.

The LPA must use Appendix C to record their decisions on how to take each site forward or whether to remove a site from allocation, based on the evidence and strategic recommendations provided in this Level 1 SFRA. Recording their decisions in the Sites Assessment Spreadsheet demonstrates that a sequential, sustainable approach to development and flood risk has been adopted.

#### 6.2 Settlement summaries

At the time of production of the SFRA, CDC identified 23 settlements for the SFRA to assess, including 17 from the development strategy of the adopted Local Plan 2018, and those requested by the Council's Lead Flood Risk Management Officer. For the purposes of the SFRA, Cirencester and Siddington, Kemble and Kemble Additional, and Bourton-on-the-Water and Lower Slaughter have been grouped together due to their proximities. The SFRA has therefore examined flood risk in and around these settlements. Table 6-1 lists the settlements identified.

Andoversford	Mickleton		
Bledington**	Moreton-in-Marsh		
Blockley	Naunton**		
Bourton-on-the-Water*	Northleach		
Chipping Campden	Siddington*		
Cirencester	South Cerney		
Down Ampney	Stow-on-the-Wold		
Evenlode**	Tetbury		
Fairford	Upper Rissington		
Kemble and Kemble Additional*	Weston-sub-Edge**		
Lechlade*	Willersey		
Lower Slaughter* **			

\*Cirencester and SiddingtonKemble and Kemble Additional, and Bourton-on-the-Water and Lower Slaughter have been grouped together in the SFRA due to their proximities.

\*\*Weston-sub-Edge, Naunton, Evenlode, Lower Slaughter and Bledington - locations requested for inclusion in the SFRA by the Shared Lead Flood Risk Management Officer for Cotswold District Council.

#### Table 6-1: Settlements assessed by the SFRA



Flood risk from all sources has been described in more detail for each key settlement. This information is provided in a 'summary sheet' format in Appendix F. Each summary sheet also gives further information about the implications for development. The following information is provided for each site:

- Description of flood risk in terms of sources, pathways and receptors
- Historic Flooding
- Fluvial flood risk summary, source of Flood Zone information, flood defences and flood warning
- Surface water flood risk summary
- Groundwater flood risk summary
- Sewer flood risk summary
- Reservoir flood risk summary (where applicable)
- Effects of climate change
- Available survey and detailed modelling
- Suitability of SuDS
- Implications for potential development sites (if applicable)

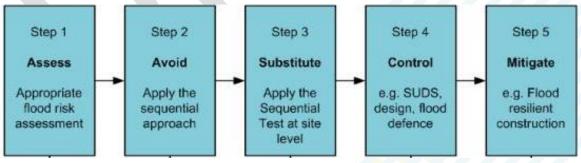
The available flood risk information can be viewed in the accompanying interactive maps in Appendix B.

# 6.3 The Sequential Approach

The FRCC-PPG provides the basis for the Sequential Approach. It is this approach, integrated into all stages of the development planning process, which provides the opportunities to reduce flood risk to people, property, infrastructure and the environment to acceptable levels.

The approach is based around the FRM hierarchy, in which actions to avoid, substitute, control and mitigate flood risk is central. For example, it is important to assess the level of risk to an appropriate scale during the decision-making process, (starting with this Level 1 SFRA). Once this evidence has been provided, positive planning decisions can be made and effective FRM opportunities identified.

Figure 6-1 illustrates the FRM hierarchy with an example of how these may translate into each authorities' management decisions and actions.



#### Figure 6-1: Flood risk management hierarchy

Using the EA's Flood Map for Planning, the overall aim of the Sequential Approach should be to steer new development to low risk Flood Zone 1. Where there are no reasonably available sites in Flood Zone 1, the flood risk vulnerability of land uses and reasonably available sites in Flood Zone 2 should be considered, applying the Exception Test if required.



Only where there are no reasonably available sites in Flood Zones 1 or 2 should the suitability of sites in higher risk Flood Zone 3, be considered. This should take into account the flood risk vulnerability of land uses and the likelihood of meeting the requirements of the Exception Test if required.

There are two different aims in carrying out the Sequential Approach depending on what stage of the planning system is being carried out i.e. LPAs allocating land in Local Plans or determining planning applications for development. The site screening outcomes from this SFRA do not remove the need for a site-specific Flood Risk Assessment at the planning application stage.

The following sections provide a guided discussion on why and how the Sequential Approach should be applied, including the specific requirements for undertaking Sequential and Exception Testing.

# 6.4 Local Plan Sequential and Exception Tests

The FRCC-PPG, para 019, states the aim of the Sequential Test is:

"...to steer new development to areas with the lowest probability of flooding. The flood zones as refined in the Strategic Flood Risk Assessment for the area provide the basis for applying the Test."

The National Planning Policy Framework, paras 160-161, sets out the Exception Test as below:

"The application of the exception test should be informed by a strategic or site-specific flood risk assessment, depending on whether it is being applied during plan production or at the application stage. For the exception test to be passed it should be demonstrated that:

- *a) the development would provide wider sustainability benefits to the community that outweigh the flood risk; and*
- b) the development will be safe for its lifetime taking account of the vulnerability of its users, without increasing flood risk elsewhere, and, where possible, will reduce flood risk overall.
   Both elements of the exception test should be satisfied for development to be allocated or permitted."

The LPA should seek to avoid inappropriate development in areas at risk of flooding by directing development away from areas at highest risk and ensuring that all development does not increase risk and where possible can help reduce risk from flooding to existing communities and development.

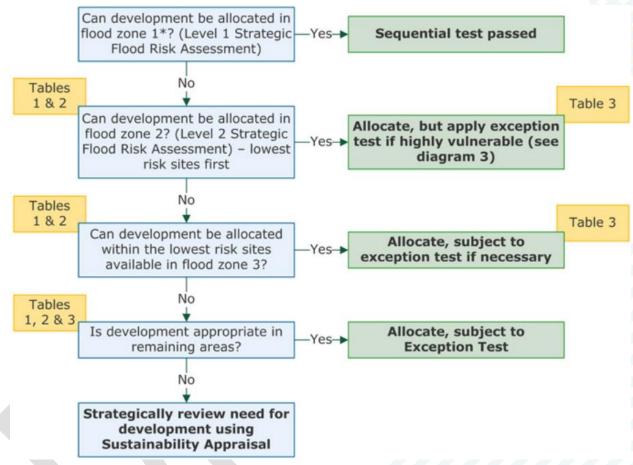
At a strategic level, this should be carried out through the Local Plan using this Level 1 SFRA. This should be done broadly by:

- 1. Applying the Sequential Test and if the Sequential Test is passed, applying and passing the Exception Test, if required;
- 2. Safeguarding land from development that is required for current and future flood management (i.e. using potential for WwNP data);
- 3. Using opportunities offered by new development to reduce the causes and impacts of flooding;
- 4. Identifying where flood risk is expected to increase with climate change so that existing development may not be sustainable in the long term; and
- 5. Seeking opportunities to facilitate the relocation of development including housing to more sustainable locations.



Figure 6-2 illustrates the Sequential and Exception Tests as a process flow diagram using the information contained in this SFRA to assess sites put forward in the Local Plan against the EA's Flood Map for Planning flood zones and development vulnerability classification.

This is a stepwise process, but a challenging one, as a number of the criteria used are qualitative and based on experienced judgement. The process must be documented, and evidence used to support decisions recorded. This can be done using the Development Site Assessment spreadsheet in Appendix C.



#### Figure 6-2: Local Plan sequential approach to site allocation<sup>12</sup>

\*Other sources of flooding also need to be considered. For example, if the site is solely within FZ1 but is at risk from other sources and/or climate change impacts, the Sequential Test has not been satisfied.

(Tables 1, 2, 3 refer to the Flood Zone and flood risk tables of the FRCC-PPG Paragraphs 065-067).

The approach shown in Figure 6-2 provides an open demonstration of the Sequential Test being applied in line with the NPPF and the FRCC-PPG. The LPA should agree a locally specific approach to application of the Sequential Test, based on the available evidence and circumstances. The EA would not approve the locally specific approach taken by the LPA, however the LPA can consult the EA regarding proposed sites and any local information or consultations with the LLFA should also be taken into account.

 $<sup>12\</sup> https://www.gov.uk/guidance/flood-risk-and-coastal-change\#Sequential-Test-to-Local-Plan$ 

This SFRA provides the main evidence required to carry out this process. The process also enables those sites that have passed the Sequential Test and may require the Exception Test, to be identified. Following application of the Sequential Test the LPA and developers should refer to 'Table 3: Flood risk vulnerability and flood zone 'compatibility'' of the FRCC-PPG (Paragraph 067) when deciding whether a development may be suitable or not.

Although passing the Exception Test will require the completion of a site-specific FRA, the LPA should be able to assess the **likelihood** of passing the test at the Local Plan level by using the information contained in this Level 1 SFRA to help to answer the following questions:

- a. Can development within higher risk areas be avoided or substituted?
- b. Is flood risk associated with possible development sites considered too high; and will this mean that the criteria for Exception Testing are unachievable?
- c. Can risk be sustainably managed through appropriate development techniques (resilience and resistance) and incorporate Sustainable Drainage Systems without compromising the viability of the development?
- d. Can the site and any residual risks to the site, be safely managed to ensure that its occupiers remain safe during times of flood if developed?

A Level 2 SFRA may be required to fully answer the above questions. Where it is found to be unlikely that the Exception Test can be passed due to few wider sustainability benefits, the risk of flooding being too great, or the viability of the site being compromised by the level of flood risk management work required, then the LPA should consider avoiding the site altogether.

Once this process has been completed, the LPA should then be able to allocate appropriate development sites through its Local Plan as well as prepare flood risk policy including the requirement to prepare site-specific FRAs for all allocated sites that remain at risk of flooding or that are greater than one hectare in area.

# 6.5 Sustainability Appraisal (SA) and flood risk

The Sustainability Appraisal (Section A.5.4 of Appendix A) of the Local Plan should help to ensure that flood risk is taken into account at all stages of the planning process with a view to directing development away from areas at flood risk, now and in the future, by following the sequential approach to site allocation, as shown in Figure 6-2. The SA should be informed by this SFRA so that flood risk is fully taken into account when considering allocation options and in the preparation of plan policies, including policies for flood risk management to ensure that flood risk is not increased (para 010 FRCC-PPG).

By avoiding sites identified in this SFRA as being at significant risk, such as those listed in Section E.1.1 of Appendix E or by considering how changes in site layout can avoid those parts of a site at flood risk, such as any site included within Recommendation C (Section E.1.3 of Appendix E), the Council would be demonstrating a sustainable approach to development.

In terms of surface water, a similar approach should be followed though there should be no recommendation not to allocate a site, as the RoFSW is not detailed enough to inform such decisions. It is there rather to inform the requirement for further work to fully quantify the surface water risk through more detailed modelling, site inspection, review of groundwater conditions and appropriate SuDS.

Once the LPA has decided on a final list of sites to allocated through the Local Plan, following application of the Sequential Test and, where required, the Exception Test following a Level 2 SFRA, a phased approach to development should be adopted to avoid any cumulative impacts that multiple developments may have on flood risk. The LPA must use this approach when reviewing planning applications. For example, for any site where it



is required, following the Sequential Test, to develop in Flood Zone 3, detailed modelling would be required to ascertain where displaced water, due to development, may flow and to calculate subsequent increases in downstream flood volumes. The modelling should investigate scenarios based on compensatory storage techniques to ensure that downstream or nearby sites are not adversely affected by development on other sites.

# 6.5.1 Cumulative impacts

The NPPF states that strategic policies...

"...should consider cumulative impacts in, or affecting, local areas susceptible to flooding, and take account of advice from the Environment Agency and other relevant flood risk management authorities, such as lead local flood authorities and internal drainage boards" (para 160).

Previous policies have relied on the assumption that if each individual development does not increase the risk of flooding, the cumulative impact will also be minimal. However, if there is a lot of development occurring within one catchment, particularly where there is flood risk to existing properties or where there are few opportunities for mitigation, or proposed developments of less than 10 dwellings that are not referred to the LLFA for consultation under the Town and Country Planning (Development Management Procedure) Order (DMPO) 2015, the cumulative impact may be to change the flood response of the catchment.

Consideration should be given to the following:

- The importance of phasing development, as discussed in Section 6.5.5;
- Cross boundary impacts i.e. there should be dialogue between CDC and neighbouring authorities upstream and downstream of the District on flood risk management practices and development;
- Leaving space for floodwater by safeguarding land through the Local Plan and utilising greenspace for flood storage and slowing the flow (see Sections 6.5.4 and 5.7.4);
- Ensuring floodplain connectivity; and
- SuDS and containment of surface water onsite as opposed to directing elsewhere (see Section 6.8).

When allocating land for development, consideration should be given to the potential cumulative impact of the loss of floodplain storage volume, as well as the impact of increased flows on flood risk downstream. Whilst the loss of storage for individual developments may only have a minimal impact on flood risk, the cumulative effect of multiple developments may be more severe.

All developments are required to comply with the NPPF and demonstrate they will not increase flood risk elsewhere. Therefore, providing all new development complies with the latest guidance and legislation relating to flood risk and sustainable drainage, in theory there should not be any increase in flood risk downstream.

Strategic solutions may include upstream flood storage, integrated major infrastructure/Flood Risk Management schemes, new defences and watercourse improvements as part of regeneration and enhancing green infrastructure, with opportunities for Working with Natural Processes and retrofitting of SuDS to existing development.

Through the Local Plan, CDC should consider the following strategic solutions:

 Use of sustainable flood storage and mitigation schemes to store water and manage surface water runoff in locations that provide overall flood risk reduction as well as environmental benefits;

- In areas where flood risk is being managed effectively, there will be a need in the future to keep pace with increasing flood risk as a result of climate change;
- Assessment of long-term opportunities to move development away from the floodplain and to create blue/green river corridors throughout the CDC area;
- Identification of opportunities to use areas of floodplain to store water during high flows, to reduce long-term dependence on engineered flood defences located both within and outside the CDC area;
- Safeguarding the natural floodplain from inappropriate development;
- Where possible, changes in land management should look to reduce runoff rates from development whilst maintaining or enhancing the capacity of the natural floodplain to retain water. Land management and uses that reduce runoff rates in upland areas should be supported;
- Development should maintain conveyance of watercourses through hamlets and villages to help reduce the impact of more frequent flood events and to improve the natural environment and WFD targets;
- Use of this SFRA to inform future development and minimise flood risk from all sources;
- Implementation of upstream catchment management i.e. slow the flow and flood storage schemes could be implemented in upper catchments to reduce risk downstream and across neighbouring authority boundaries; and
- Promotion and consideration of SuDS at the earliest stage of development planning.

According to the NPPF, the LPA should work with neighbouring authorities to consider strategic cross-boundary issues and infrastructure requirements. Local authorities also have a duty to cooperate whereby councils work together on strategic matters and produce effective and deliverable policies on strategic cross boundary matters.

The Flood and Water Management Act 2010 requires all risk management authorities (RMAs) to cooperate with relevant authorities regarding exercising flood and coastal risk management. Cotswold is represented by the English Severn and Wye Regional Flood and Coastal Committee (RFCC) where cross-boundary resources, projects and data are shared.

#### 6.5.2 Hydrological linkages and cross boundary issues

The main watercourses within the Cotswold district all originate from within the CDC authority boundary. Therefore, major land use changes within neighbouring catchments are unlikely to have a significant impact on flow regimes and flood risk. However, a number of watercourses that originate from within the Cotswold district enter into neighbouring catchments and local authority boundaries. Development control and responsible land management across the Cotswold district is crucial to ensuring sustainable development within neighbouring authority boundaries.

Figure 6-3 illustrates fluvial hydraulic linkages for the catchments in and around the authority area of CDC. The River Windrush and River Evenlode enter the West Oxfordshire District from CDC; upstream land use changes in the CDC area could have an effect on flood risk along these watercourses. In addition, the River Coln and River Leach enter the Vale of White Horse District; and the River Thames and River Churn flow into Wiltshire. Close partnerships between CDC and the surrounding authorities will need to be maintained.

Were the above strategic solutions not considered in upstream development planning, the following issues may occur:

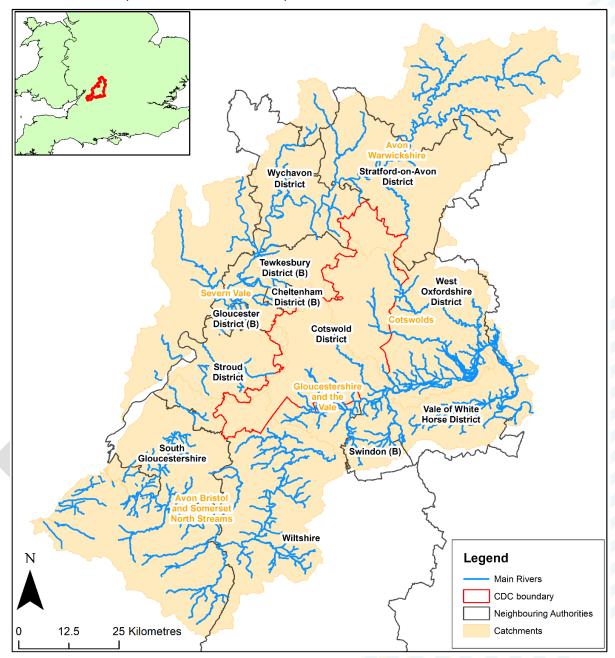
• Reduction in upstream floodplain storage capacity; and

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• Increase in impermeable areas leading to a reduction in rainfall infiltration and subsequent increased runoff.

The need for consistent regional development policies controlling runoff or development in floodplains within contributing districts is therefore crucial as this would have wider benefits for neighbouring local authorities as well as Cotswold district. This should be carried out by the successful implementation of the Sequential Test.



# Figure 6-3: Hydrological linkages for catchments in and around the Cotswold district

# 6.5.3 Cumulative impact of development and strategic solutions

This section provides a summary of the catchments with the highest flood risk and development pressures and then makes recommendations for local planning policy based on these.



# Introduction

Under the NPPF, strategic policies and their supporting SFRAs, are required to `consider cumulative impacts in, or affecting, local areas susceptible to flooding' (para. 160), rather than just to or from individual development sites.

When allocating land for development, consideration should be given to the potential cumulative impact of the loss of floodplain storage volume, as well as the impact of increased flows on flood risk downstream. Whilst the loss of storage for individual developments may only have a minimal impact on flood risk, the cumulative effect of multiple developments may be more severe.

All developments are required to comply with the NPPF and demonstrate they will not increase flood risk elsewhere. Therefore, providing developments comply with the latest guidance and legislation relating to flood risk and sustainable drainage, in theory they should not increase flood risk downstream.

Catchments within the study area that have the potential to influence existing flood risk issues in neighbouring Local Authorities were identified, as well as catchments in the study area that may be influenced by development in catchments in neighbouring Local Authorities. Historic flood incidents, the current and potential increases in surface water flood risk to properties and cross boundary issues in each catchment were assessed to identify the catchments at greatest risk.

Local planning policies can also be used to identify areas where the potential for development to increase flood risk is highest and identify opportunities for such new development to positively contribute to decreases in flood risk downstream.

#### Strategic solutions

Cotswold District Council has a vision for the future management of flood risk and drainage in the district. This concerns flood risk management, alongside wider environmental and water quality enhancements. Strategic solutions may include upstream flood storage, integrated major infrastructure/ FRM schemes, new defences and watercourse improvements as part of regeneration and enhancing green infrastructure, with opportunities for natural flood management and retrofitting sustainable drainage systems.

The strategic policy vision from the Catchment Flood Management Plan (CFMP) and River Basin Management Plan (RBMP) focuses on safeguarding the floodplain from inappropriate development and encouraging collaboration and creating new partnerships to reduce the risk of flooding and to enhance the natural environment. Within Cotswold District, strategic solutions encourage development to:

- Consider Flood Risk Management potential social, environmental and economic benefits to local communities to improve the natural and built environments;
- Work closely with county and district planners, and other organisations where relevant, to avoid inappropriate development in areas of flood risk and ensure development does not increase flood risk elsewhere;
- Support sustainable flood resilient development through avoiding development in existing and future areas at risk of flooding and coastal erosion and managing other land elsewhere to avoid increasing the risks, through the encouragement of the implementation of SuDS;
- Address flood risk through improved engagements with wider partners and key communities, increasing public awareness on the effects of climate change and how to manage/mitigate the risks;
- Ensure CDC is using the 'Locally Agreed Surface Water Information' to support spatial planning;



- Ensure downstream properties are protected from an increase, and preferably seek a decrease, in flood risk due to development. This should also account for climate change;
- Identify land that could be allocated for future water attenuation schemes, and areas which could be flooded without high risk of damages to properties or injury to use for conveyance and storage of stormwater; and
- Minimise future culverting of watercourses and seek to 'daylight' existing culverts where possible.

The Thames CFMP gives an overview of the flood risk in the River Thames catchment and sets out plans for sustainable flood risk management across nine sub areas. Cotswold District Council occupies the Upper Thames; sub area 1. This sub area covers large expanses of open undeveloped floodplain with villages and market towns. The preferred policy is Policy Option 6, which uses sustainable flood storage and mitigation schemes to store water and manage surface water runoff in locations that provide overall flood risk reduction as well as environmental benefits.

# Assessment of cross-boundary issues

**Error! Reference source not found.** shows the catchments in the Cotswold District mapped against the topography and the direction that they drain. This shows that although the majority of the cross-boundary catchments drain out of the district, some located towards the north east drain into the Cotswold District from neighbouring authorities. This means that development in neighbouring authorities to the north east are more likely to have an impact on flood risk within the Cotswold District, whereas development within Cotswold District is more likely to impact neighbouring authorities to the south and west.

The neighbouring Local Authorities that contain catchments which drain into Cotswold District include:

- Tewkesbury District
- Wychavon District
- Stratford-on-Avon District
- West Oxfordshire District
- Wiltshire

Growth in neighbouring authorities was considered in the cumulative impact assessment outlined below. There were three brownfield sites with the potential for development found within West Oxfordshire that are located within the Cornwell Brook and tributaries catchment that drains into the east of Cotswold District. The total area of the potential development sites only occupies around 0.4% of the catchment. In the remaining neighbouring authorities, there are no significant development sites on catchments draining into Cotswold District. If appropriate drainage strategies and SuDS are adopted, new development in West Oxfordshire District can be mitigated to reduce the effects on flood risk in Cotswold District.

The neighbouring Local Authorities that catchments located within the Cotswold District drain into, shown in Figure 6-4, include:

- Cheltenham District
- Gloucester District
- South Gloucestershire
- Stratford-on-Avon District
- Stroud District
- Swindon

- Tewkesbury District
- Vale of White Horse District
- West Oxfordshire District
- Wiltshire
- Wychavon District

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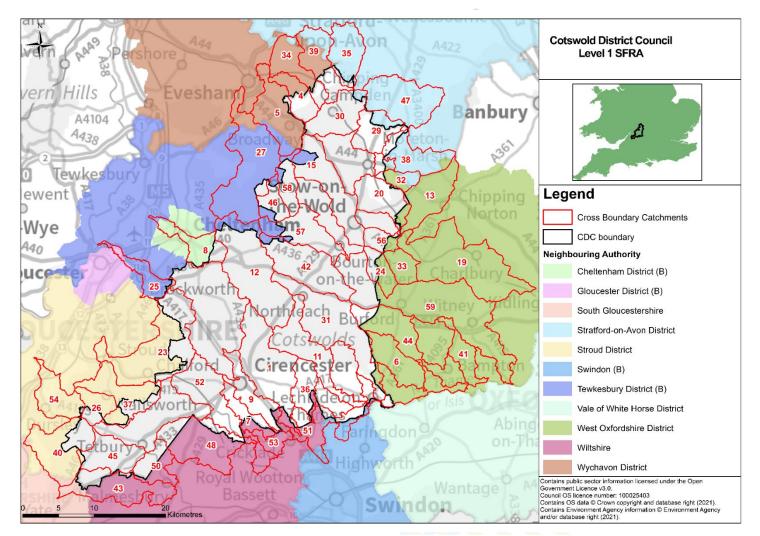


Figure 6-4: Cross boundary catchments that drain out of the district into neighbouring Local Authorities



Consequently, there are a number of catchments and sub-catchments that exist within the Cotswold District where future development may impact flood risk in the neighbouring Local Authorities outlined above, particularly where there are existing flood risk issues. Appendix G summarises which catchments drain out of the Cotswold District, and any downstream existing flood risk issues that have the potential to be exacerbated. The sources of data used to inform the existing flood risk issues to properties in neighbouring Local Authorities can be found in Appendix H.

Apart from the districts outlined below, the Local Plans for the remaining neighbouring Local Authorities are being reviewed alongside their evidence bases (i.e., SFRAs, Sustainability Appraisals, etc.) and therefore, their up-to-date flood risk and drainage policies are not yet formalised. However, it is very likely that to ensure compliance with the NPPF, appropriate sustainable drainage and flood risk policies will be proposed. Below summarises the relevant drainage and flood risk policies relating to the Local Plans for the neighbouring authorities with adopted Local Plans.

# Cheltenham, Gloucester and Tewkesbury Joint Core Strategy 2011-2031

The Joint Core Strategy (JCS) for Cheltenham, Gloucester and Tewkesbury was adopted 11 December 2017. The majority of policies for the individual districts are contained within the JCS. The following policies are relevant to the district's flood risk and drainage strategy:

- INF2: Flood Risk Management
- INF3: Green Infrastructure
- SD3: Sustainable Design and Construction

# Stroud District Local Plan 2011-2031

Stroud District's Local Plan was adopted 19 November 2015, and the following policies are relevant to the district's flood risk and drainage strategy:

- CP6: Infrastructure and developer contributions
- CP14: High Quality Sustainable Development
- ES1: Sustainable Construction and Design
- ES3: Maintaining Quality of Life within our Environmental Limits
- ES4: Water resources, quality, and flood risk

#### Swindon Local Plan 2011-2026

Swindon's Local Plan was adopted 26 March 2015, and the following policies are relevant to the district's flood risk and drainage strategy:

EN6: Flood Risk

# Vale of White Horse District Local Plan 2011-2031

Vale of White Horse District's Local Plan Part 1 was adopted in December 2016, with Part 2 being adopted 9 October 2019, and the following policies are relevant to the district's flood risk and drainage strategy:

- Core Policy 14: Strategic Water Storage Reservoirs
- Core Policy 42: Flood Risk
- Core Policy 37: Design and Local Distinctiveness
- Core Policy 40: Sustainable Design and Construction
- Core Policy 45: Green Infrastructure
- Development Policy 30: Watercourses



# West Oxfordshire District Local Plan 2011-2031

West Oxfordshire District's Local Plan was adopted 26 March 2015, and the following policies are relevant to the district's flood risk and drainage strategy:

- OS2: Locating development in the right places
- OS3: Prudent use of natural resources
- OS4: High quality design
- EH7: Flood risk

It is recommended that Cotswold District Council consults neighbouring authorities to identify and review potential cross-boundary issues.

Policy recommendations with regards to managing the cumulative impact of development have been made further in the section of the report. This will help to ensure there is no incremental increase in flood risk both within and downstream of Cotswold District. The catchments within the Cotswold District are shown in Figure 6-4. The direction of catchment drainage in or out of the Cotswold District for catchments that straddle neighbouring Local Authority boundaries is shown in **Error! Reference source not found.**.

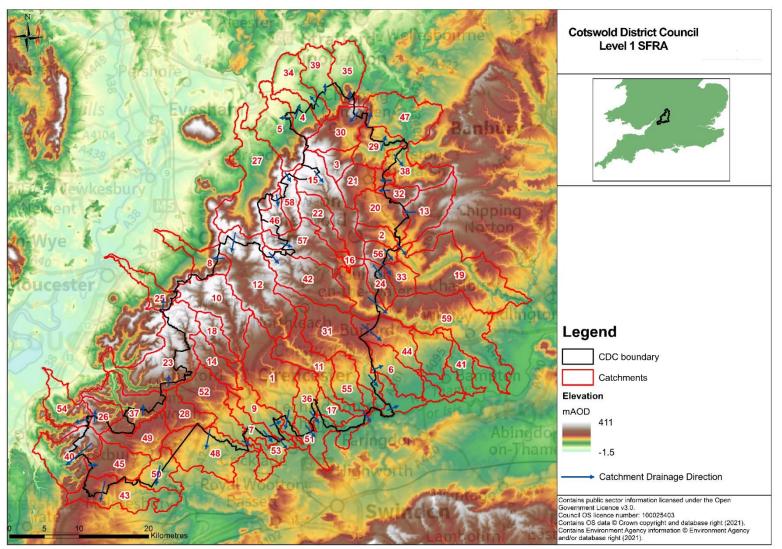


Figure 6-5: River Catchments and the direction of catchment drainage in or out of Cotswold District



#### Cumulative Impact Assessment

A cumulative impact assessment (CIA) was undertaken for this SFRA. To assess which catchments are at the highest risk of flooding and where the cumulative impact of development may have the biggest effect, historic flood risk and areas that are most sensitive to increases in flood risk were assessed. The methodology for the CIA is discussed in Appendix H. The policy recommendations are listed further on in this section. The results of the CIA can be summarised to give a rating of low, medium or high risk for each catchment. The rating of each catchment in each of these assessments was combined to give an overall ranking. The average scores for the rating of each of the sub catchments was combined to give the rating of the overall catchment. The highest overall ranked catchments are shown in Table 6-2 and a map of the catchment ratings is shown in Figure 6-6.

Catchment	Number of historic flood events	Sensitivity to increases in flood flows*	% area of development sires within catchment**	Potential to impact neighbouring local authority?	Potential for neighbouring local authority to impact flood risk?	Total Score
Churn (Baunton to Cricklade)	25	249%	2.5%	Yes	No	9
Coln (from Coln Rogers) and Thames (Coln to Leach)	21	237%	0.4%	Yes	No	8
Daglingworth Stream (Source to Churn)	11	340%	0.04%	No	No	7
Evenlode (Compton Bk to Bledington Bk) and 4 Shires	5	100%	3.3%	No	Yes	7

Catchment	Number of historic flood events	Sensitivity to increases in flood flows*	% area of development sires within catchment**	Potential to impact neighbouring local authority?	Potential for neighbouring local authority to impact flood risk?	Total Score
Evenlode (Source to Four Shires S) and Longborough Stream	10	219%	2.3%	No	No	7
Tetbury Avon - unnamed trib to conf Sherston Avon	1	346%	0%	Yes	No	7
Windrush (Slade Barn Stream to Dikler)	9	126%	0%	No	Yes	7

 Table 6-2: Highest ranked catchments

\*This is the measure of the increase in the number of properties at risk of surface water flooding in a 1 in 100-year event to a 1 in 1,000-year event. It is an indicator of where local topography makes an area more sensitive to increases in flood risk that may be due to any number of reasons, including climate change, new development etc. It is not an absolute figure or prediction of the impact that new development will have on flood risk.

\*\*This is the measure of the area of development sites within each catchment taken as a percentage of the total area in each catchment.

\*\*\*The final divides the Total Scores up into different bands to assign a rating of high, medium or low. A score of >7 = High, 4-6 = Medium and 0-3 = Low.

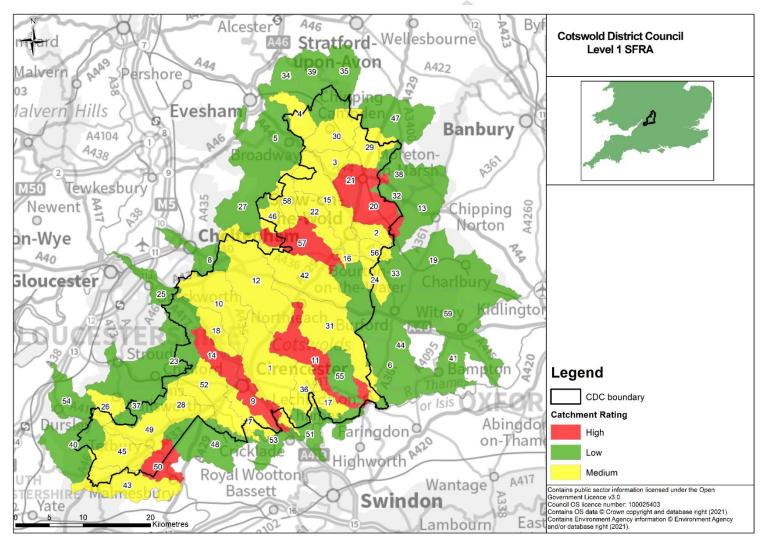


Figure 6-5: Map of the results of the CIA for each of the catchments



The CIA supports a tiered approach, with bespoke policy depending on the location of the development. Specific policy recommendations relate to:

- High risk urban catchments (Policy Recommendation 1)
- High risk rural catchments (Policy Recommendation 2)

The remaining medium and low risk catchments in the district are assigned different policy recommendations:

 All catchments council-wide including ones at lower risk (Policy Recommendation 3)

Policies 1 and 2 relate to the high risk 'red' catchments seen in Figure 6-6, whereas Policy 3 relates to all other 'yellow' and 'green' catchments within Cotswold District Council administrative area. More details regarding the Policies can be found in the section below.

# Recommendations from the Cumulative Impact Assessment

Policy Recommendation 1 – High risk urban catchments

Mapping of these catchments can be found in Figure 6-6. High-risk catchments are detailed within Table 6-2.

- Churn (Baunton to Cricklade)
- Daglingworth Stream (Source to Churn)

Cirencester town centre falls within both the Churn (Baunton to Cricklade) and the Daglingworth Stream (Source to Churn) catchments, which received a high-risk rating in the cumulative impact analysis.

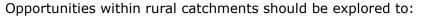
All new development (other than minor extensions) within this catchment should:

- Consider site specific Flood Risk Assessments to demonstrate what measures can be put in place to contribute to flood risk reduction downstream. This could be through SuDS, natural flood management techniques, green infrastructure, and green-blue corridors.
- Look to maintain existing key blue and green spaces including those identified in the Green and Blue Infrastructure Strategy, particularly where there is an environmental or climate change mitigation value, and consider creating additional blue and green infrastructure, combing these with the existing network, unless other development pressures outweigh the need for maintaining existing blue/green areas. Key green spaces within high-risk urban catchments should be identified to protect from future development.
- Produce a Green and Blue Infrastructure Management and Maintenance Plan to set out the effective management of green and blue infrastructure assets so they can continue to deliver the long-term benefits they were designed to provide.
- Incorporate Surface Water Drainage Strategies consistent with local planning requirements for all developments in this catchment, regardless of development size.

#### Policy Recommendation 2 - High risk rural catchments

Mapping of these catchments can be found in Figure 6-6. High-risk catchments are detailed within Table 6-2.

- Coln (from Coln Rogers) and Thames (Coln to Leach)
- Evenlode (Compton Bk to Bledington Bk) and 4 Shires
- Evenlode (Source to Four Shires S) and Longborough Stream
- Tetbury Avon unnamed trib to conf Sherston Avon
- Windrush (Slade Barn Stream to Dikler)



- Promote environmental land management practices to attenuate surface water runoff, through methods such as cover crops, riparian borders, and infiltration techniques, to alleviate potential issues downstream.
- Promote community resilience in rural areas where immediate assistance following serious flood events might not be possible.

The LPA should work closely with the EA and GCC as LLFA to identify areas of land that should be safeguarded for the future use of natural flood management features.

Policy Recommendation 3 - Applicable across the district to minimise cumulative impacts

This policy applies to all catchments that received a medium-risk or low-risk catchment rating in the CIA.

All new development in these catchments should:

- Incorporate green and blue infrastructure into development plans, through both maintaining current green and blue spaces and also creating additional infrastructure to promote recreation, water management, biodiversity and climate change mitigation.
- Integrate Surface Water Drainage Strategies in accordance with local requirements for all major and non-major developments. These should take into account all sources of flooding to ensure that future development is resilient to flood risk and does not increase flood risk elsewhere

#### 6.5.4 Safeguarding land for flood storage

Where possible, the LPA may look to allocate land designed for flood storage functions. Such land can be explored through the site allocation process whereby an assessment is made, using this SFRA, of the flood risk at assessed sites and what benefit could be gained by leaving the site undeveloped. In some instances, the storage of flood water can help to alleviate flooding elsewhere, such as downstream developments. Where there is a large area of a site at risk that is considered large enough to hinder development, it may be appropriate to safeguard this land for the storage of floodwater.

Section 14; Paragraph 161 of the NPPF states that, to avoid where possible, flood risk to people and property, the LPAs should manage any residual risk by,

'safeguarding land from development that is required, or likely to be required, for current or future flood management'.

Applicable sites assessed through this SFRA may include any current greenfield sites:

- That are considered to be large enough (>1 hectare) to store floodwater to achieve effective mitigation;
- With large areas of their footprint at high or medium surface water flood risk (based on the RoFSW);
- That is within the functional floodplain (Flood Zone 3b);
- With large areas of their footprint at risk from Flood Zone 3a; and
- That are large enough and within a suitable distance to receive floodwater from a nearby development site using appropriate SuDS techniques which may involve pumping, piping or swales/drains.

Brownfield sites could also be considered, though this would entail site clearance of existing buildings, conversion to greenspace and contaminated land assessments.

By using the sequential approach to site layout, the LPA and developers should be able to avoid the areas at risk and leave clear for potential flood storage. See the SFRA Maps in Appendix B to spatially assess the areas of the sites at risk.

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# 6.5.5 Phasing of development

Flood risk should be considered at all stages of the planning process with a view to directing development away from areas at flood risk, now and in the future, by following the sequential approach to site allocation, as shown in Figure 6-6.

Using a phased approach to development, based on modelling results of floodwater storage options, should ensure that any sites at risk of causing flooding to other sites are developed first to ensure flood storage measures are in place before other sites are developed, thus ensuring a sustainable approach to site development. Also, it may be possible that flood mitigation measures put in place at sites upstream could alleviate flooding at downstream or nearby sites. Large strategic multiple development sites should also carry out development phasing within the overall site boundary so as to avoid cumulative impacts within the site, as well as off the site.

#### 6.6 Guidance for developers

This SFRA provides the evidence base for developers to assess flood risk at a strategic level and to determine the requirements of an appropriate site-specific FRA. Before carrying out an FRA, developers should check with the LPA whether the Sequential Test has been carried out. If not, the developer must apply the Sequential Test as part of their FRA by comparing their indicative development site with other available sites to ascertain which site has the lowest flood risk. The EA provides advice on this via:

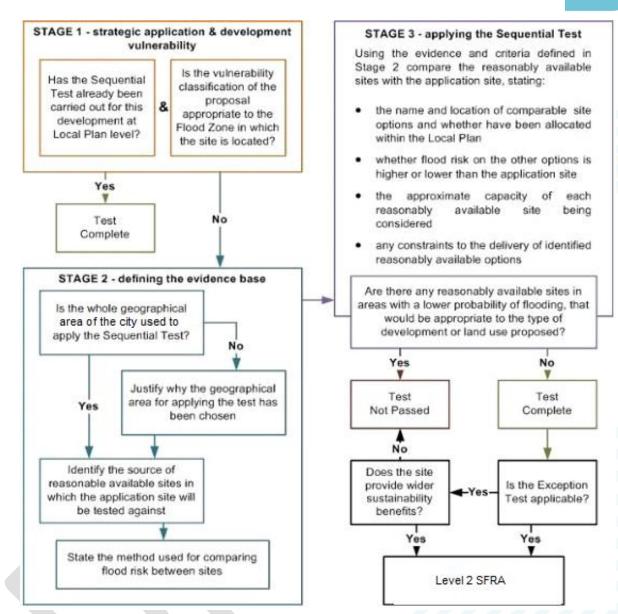
https://www.gov.uk/guidance/flood-risk-assessment-the-sequential-test-for-applicants

Table 6-3 identifies, for developers, when the Sequential and Exception Tests are required for certain types of development and who is responsible for providing the evidence and those who should apply the test if required.

Development	Sequential Test Required?	Who applies the Sequential Test?	Exception Test Required?	Who applies the Exception Test?
Allocated sites	No (assuming the development type is the same as that submitted via the allocations process)	LPA should have already carried out the test during the allocation of development sites	Dependent on land use vulnerability	LPA to advise on the likelihood of test being passed. The developer must also provide evidence that the test can be passed by providing planning justification and producing a detailed FRA
Windfall Sites	Yes	Developer provides evidence, to the LPA that the test can be passed. An area of search will be defined by local circumstances relating to the catchment and for the type of development being	Dependent on land use vulnerability	Developer must provide evidence that the test can be passed by providing planning justification and producing a detailed FRA

Development	Sequential Test Required?	Who applies the Sequential Test?	Exception Test Required?	Who applies the Exception Test?
		proposed		
Regeneration Sites Identified Within Local Plan	No	-	Dependent on land use vulnerability	LPA to advise on the likelihood of test being passed. The developer must also provide evidence that the test can be passed by providing planning justification and producing a detailed FRA
Redevelopment of Existing Single Properties	No		Dependent on land use vulnerability	Developer must provide evidence that the test can be passed by providing planning justification and producing a detailed FRA
Changes of Use	No (except for any proposal involving changes of use to land involving a caravan, camping or chalet site)	Developer provides evidence to the LPA that the test can be passed	Dependent on land use vulnerability	Developer must provide evidence that the test can be passed by providing planning justification and producing a detailed FRA

 Table 6-3: Development types and application of Sequential and Exception Tests for developers



# Figure 6-6: Development management Sequential Test process

The Sequential Test does not apply to change of use applications unless it is for change of land use to a caravan, camping or chalet site, or to a mobile home site or park home site. The Sequential Test can also be considered adequately demonstrated if both of the following criteria are met:

- The Sequential Test has already been carried out for the site (for the same development type) at the strategic level (Local Plan); and
- The development vulnerability is appropriate to the Flood Zone (see Table 3 of the FRCC-PPG).

If both these criteria are met, reference should be provided for the site allocation of the Local Plan document and the vulnerability of the development should be clearly stated.

- When applying the Sequential Test, the following should also be considered:
- The geographic area in which the Test is to be applied;
- The source of reasonable available sites in which the application site will be tested against; and



• The evidence and method used to compare flood risk between sites.

Sites could be compared in relation to flood risk; Local Plan status; capacity; and constraints to delivery including availability, policy restrictions, physical problems or limitations, potential impacts of the development on the local area, and future environmental conditions that would be experienced by the inhabitants of the development.

The test should conclude if there are any reasonably available sites in areas with a lower probability of flooding that would be appropriate to the type of development or land use that has been put forward in the Local Plan.

The LPA should now have sufficient information to be able to assess whether the site has passed the Sequential Test. If the Test has been passed, then the developer should apply the Exception Test in the circumstances set out by Tables 1 and 3 of the FRCC-PPG.

In all circumstances, where the site is within areas at risk of flooding and where a sitespecific FRA has not already been carried out, a site-specific FRA should be completed in line with the NPPF and the FRCC-PPG.

In addition to the formal Sequential Test, the NPPF sets out the requirement for developers to apply the sequential approach to locating development within the site. As part of their application and masterplanning discussions with applicants, LPAs should seek whether or not:

- Flood risk can be avoided by substituting less vulnerable uses or by amending the site layout;
- Less vulnerable uses for the site have been considered; or

Density can be varied to reduce the number, or the vulnerability of units located in higher risk parts of the site.



When initially considering the development options for a site, developers should use this SFRA, the NPPF and the FRCC-PPG to:

- Identify whether the site is
  - A windfall development, allocated development, within a regeneration area, single property or subject to a change of use to identify if the Sequential and Exception Tests are required.
- Check whether the Sequential Test and/or the Exception Test have already been applied
  - Request information from the LPA on whether the Sequential Test, or the likelihood of the site passing the Exception Test, have been assessed;
  - If not, provide evidence to the LPA that the site passes the Sequential Test and will pass the Exception Test.
- Consult with the LPA, the LLFA and the EA and the wider group of flood risk consultees, where appropriate, to scope an appropriate FRA if required
  - Guidance on FRAs is provided in Appendix Eof this SFRA;
  - Also, refer to the EA Standing Advice online, the NPPF and the FRCC-PPG;
  - Consult the LLFA on surface water and ordinary watercourses.
- Submit FRA to the LPA for approval. The LPA may then consult the EA, if required. The EA will then review the FRA in relation to their remit and give recommendations to the LPA.

#### 6.7 Planning for climate change (NPPF)

In relation to flood risk and climate change in the planning system, the NPPF states:

"All plans should apply a sequential, risk-based approach to the location of development – taking into account the current and future impacts of climate change – so as to avoid, where possible, flood risk to people and property." (para 161).

Local plans should do this by safeguarding land from development that is required, or likely to be required, for current or future flood management; and to seek opportunities for the relocation of development, including housing, to more sustainable locations from areas where climate change is expected to increase flood risk.

#### 6.7.1 EA climate change allowances

The EA revised the climate change allowances in 2021, for use in FRAs and SFRAs and will, at the time of writing, use these revised allowances when providing advice. There have been several updates carried out to the allowances since the release of UKCP18.



Developers should refer to the climate change allowances on the Government website<sup>13</sup> to ensure those outlined below are the most up-to-date available.

The climate change allowances are predictions of anticipated change for:

- Peak river flow by EA management catchment (see Table 6-4); and
- Peak rainfall intensity (see Table 6-5).

Peak river flow allowances show the anticipated changes to peak flow by management catchment. Management catchments are sub-catchments of river basin districts. Both the central and higher central allowances for the 2080s epoch are required to be assessed for SFRAs. See Section 6.7 for the assessment of climate change for this Level 1 SFRA.

Management catchment	Allowance Category	Total potential change anticipated for peak river flows (based on a 1981 to 2000 baseline)			
		2020s (2015- 2039)	2050s (2040- 2069)	2080s (2070- 2125)	
Cotswolds	Upper end	31%	43%	82%	
	Higher central	17%	21%	43%	
	Central	11%	13%	30%	
Gloucestershire	Upper end	33%	43%	84%	
and the Vale	Higher central	17%	19%	41%	
	Central	11%	11%	26%	
Avon Warwickshire	Upper end	22%	31%	59%	
	Higher central	12%	14%	32%	
	Central	7%	8%	21%	
Avon Bristol and	Upper end	27%	38%	71%	
North Somerset Streams	Higher central	15%	19%	39%	
Streams	Central	10%	12%	26%	
Severn Vale	Upper end	34%	52%	94%	
	Higher central	20%	28%	53%	
	Central	14%	19%	37%	

# Table 6-4: Recommended peak river flow allowances for the management catchments covering the Cotswold district

To gauge the impacts of climate change on surface water, the EA states the allowances for peak rainfall intensities provided in Table 6-5 should be used for small (less than 5 km<sup>2</sup>) and urban catchments. The peak river flow allowances (Table 6-4) should be used for any large rural drainage catchments.

The EA advises that SFRAs and FRAs should assess both the central and upper end allowances to gauge the range of impacts. Note: surface water climate change modelling has not been carried out for this Level 1 SFRA.

13 https://www.gov.uk/guidance/flood-risk-assessments-climate-change-allowances

Allowance Category			
	2015-2039	2040-2069	2070-2115
Upper end	+10%	+20%	+40%
Central	+5%	+10%	+20%

# Table 6-5: Peak rainfall intensity allowances in small and urban catchments for England

## 6.7.2 Climate change data in Cotswold district

Modelled climate change data was not available from the EA for this Level 1 SFRA. Further modelling of climate change was also not undertaken for this Level 1 SFRA. Future flood risk as a result of climate change has therefore been assessed using a precautionary and pragmatic approach, whereby the assumption is that all potential development sites identified to be at existing risk from fluvial flooding, are at risk from the effects of climate change. We have also assumed that any site wholly within Flood Zone 1 that is within 20 metres of Flood Zone 2 may be at long term fluvial risk. Appendix E.2 discusses this approach and the sites affected.

The effects of climate change on surface water risk has not been modelled nationally by the EA, therefore this SFRA has considered that any site at existing surface water risk, as defined by the EA's national Risk of Flooding from Surface Water map, will likely be at increased risk in the longer term.

The Sites Assessment Spreadsheet in Appendix C indicates the sites that may be at increased risk in the long term, based on the approaches outlined above. Appendix E.2 provides more detail on the approaches taken and discussion on the sites considered to be at long term risk.

# 6.8 Sustainable drainage systems (SuDS)

Development has the potential to cause an increase in impermeable area, an associated increase in surface water runoff rates and volumes, and consequently a potential increase in downstream flood risk due to overloading of sewers, watercourses, culverts and other drainage infrastructure. Managing surface water discharges from new development is therefore crucial in managing and reducing flood risk to new and existing development downstream. Carefully planned development can also play a role in reducing the number of properties that are directly at risk from surface water flooding.

The Planning System has a key role to play in setting standards for sustainable drainage from new developments and ensuring that developments are designed to take account of the risk from surface water flooding. Sustainable drainage plays an important part in reducing flows in the sewer network and in meeting environmental targets, alongside investment in maintenance by the water companies on their assets. Water companies plan their investment on a five-year rolling cycle, in consultation with key partners, including the EA and local authorities.

The Department for Levelling Up, Housing and Communities Department for Communities and Local Government (DCLG) (now Department for Levelling Up, Housing and Communities (DLUHC)) announced, in December 2014, that the local planning authority, in consultation with the LLFA, should be responsible for delivering SuDS<sup>14</sup> through the planning system. Changes to planning legislation gave provisions for major applications of ten or more residential units or equivalent commercial development to require sustainable

14 http://www.parliament.uk/business/publications/written-questions-answers-statements/written-statement/Commons/2014-12-18/HCWS161/



drainage within the development proposals in accordance with the 'non-statutory technical standards for sustainable drainage systems'<sup>15</sup>, published in March 2015. A Practice Guidance<sup>16</sup> document has also been developed by the Local Authority SuDS Officer Organisation (LASOO) to assist in the application of the non-statutory technical standards.

The Design and Construction Guidance (DCG) for sewers became the regulated sewerage guidance on 1 April 2020. This allows water and sewerage companies to adopt SuDS components that meet the criteria of the DCG. Details on the sewerage sector guidance can be found online via:

https://www.water.org.uk/wp-content/uploads/2020/01/Water-UK-SuDS-brochure.pdf

## GCC Sustainable Drainage

In order to manage flood risk, all development, regardless of development type, flood zone and development size, must give priority use to SuDS. Particularly for major developments, there is a requirement to assess and include SuDS for managing surface water at the development unless it is demonstrated during the assessment that it is inappropriate for the site i.e. due to high groundwater levels not allowing for infiltration SuDS.

To satisfy the NPPF, applicants must demonstrate that priority has been given to the use of SuDS in their development proposals. SuDS should be provided by default unless demonstrated to be inappropriate. Where priority use of SuDS cannot be achieved, applicants must justify this by submitting robust and acceptable evidence.

GCC, has developed the Gloucestershire SuDS Design & Maintenance Guide (November 2015) detailing the requirements as LLFA. It provides direction to the relevant design guidance for the successful implementation of SuDS and is the basis on which planning consultations from LPAs will be assessed.

# 6.8.1 SuDS and the NPPF, 2021

The NPPF , para 169, states:

"Major developments should incorporate sustainable drainage systems unless there is clear evidence that this would be inappropriate. The systems used should:

a. Take account of advice from the lead local flood authority;

b. Have appropriate proposed minimum operational standards;

c. Have maintenance arrangements, in place to ensure an acceptable standard of operation for the lifetime of the development; and

d. Where possible, provide multifunctional benefits".

All developments, both major and minor, are to include SuDS, providing multiple benefits that contribute to many other NPPF policies, including climate change, biodiversity net gain, amenity and water quality improvements. Where site conditions may be more challenging, the SuDS components used will need to accommodate the site's opportunities and constraints. At a strategic level, this should mean identifying opportunities for a variety of SuDS components according to geology, soil type, topography, groundwater/mine water conditions, their potential impact on site allocation, and setting out local SuDS guidance and opportunities for in perpetuity adoption and maintenance.

15 https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/415773/sustainable-drainage-technicalstandards.pdf

16 http://www.susdrain.org/files/resources/other-guidance/lasoo\_non\_statutory\_suds\_technical\_standards\_guidance\_2016\_.pdf

Maintenance options must clearly identify who will be responsible for maintaining SuDS and funding for maintenance should be fair for householders and premises occupiers and set out a minimum standard to which the sustainable drainage systems must be maintained.

Sustainable drainage should form part of an integrated design methodology secured by detailed planning conditions to ensure that the SuDS to be constructed is maintained to a minimum level of effectiveness.

## 6.8.2 SuDS hierarchy

The runoff destination should always be the first consideration when considering design criteria for SuDS including the following possible destinations in order of preference:

- 1 To ground;
- 2 To surface waterbody;
- 3 To surface water sewer; or
- 4 To combined sewer.

Effects on water quality should be investigated when considering runoff destination in terms of the potential hazards arising from development and the sensitivity of the runoff destination. Developers should also establish that proposed outfalls are hydraulically capable of accepting the runoff from SuDS through consultation with the LLFA, EA, Wessex Water, Severn Trent and Thames Water as appropriate.

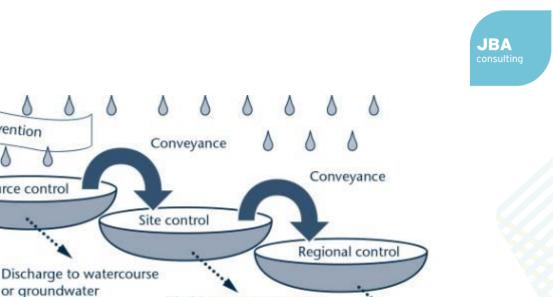
The EA may also look at the potential impact of an outfall structure through the planning consultation and Environmental Permitting Regulation process. It should be noted that detailing modelling will not be available for all outfalls therefore developers should carry out their own investigations whilst referring to the non-statutory technical standards for sustainable drainage systems (March 2015).

In February 2021, Defra published its research project to review and provide recommendations to update the current non-statutory technical standards for sustainable drainage systems.

The non-statutory technical standards for sustainable drainage systems sets out appropriate design criteria based on the following:

- 1 Flood risk outside the development;
- 2 Peak flow control;
- 3 Volume control;
- 4 Flood risk within the development;
- 5 Structural integrity;
- 6 Designing for maintenance considerations; and
- 7 Construction.

Many different SuDS techniques can be implemented. As a result, there is no one standard correct drainage solution for a site. In most cases, using the Management Train principle (see Figure 6-7), will be required, where source control is the primary aim. Source control includes interception of the first 5mm rainfall and water quality treatment as near to source as possible.



Discharge to watercourse or groundwater

# Figure 6-7: SuDS management train principle<sup>17</sup>

Prevention

Source control

The effectiveness of a flow management scheme within a single site is heavily limited by land use and site characteristics including (but not limited to) topography, geology and soil (permeability) and available area. Potential ground contamination associated with urban and former industrial sites should be investigated with concern being placed on the depth of the local water table and potential contamination risks that will affect water quality. The design, construction and ongoing maintenance regime of any SuDS scheme must be carefully defined as part of a site-specific FRA. A clear and comprehensive understanding of the catchment hydrological processes (i.e. nature and capacity of the existing drainage system) is essential for successful SuDS implementation.

Discharge to watercourse

or groundwater

In addition to the national standards, the LPA may set local requirements for planning permission that include more rigorous obligations than the non-statutory technical standards. For CDC, this is set out in the Gloucestershire SuDS Design & Maintenance Guide (November 2015). More stringent requirements should be considered where current Greenfield sites lie upstream of high-risk areas. This could include improvements on Greenfield runoff rates. The LPA should always be contacted with regards to its local requirements at the earliest opportunity in development planning.

The CIRIA SuDS Manual<sup>18</sup> 2015 should also be consulted by the LPA and developers. The SuDS manual (C753) is highly regarded and incorporates the latest research, industry practice, technical advice and adaptable processes to assist in the planning, design, construction, management and maintenance of good SuDS. The SuDS Manual complements the non-statutory technical standards and goes further to support the costeffective delivery of multiple benefits.

#### 6.8.3 **Overland flow paths**

Underground drainage systems have a finite capacity and regard should always be given to larger events when the capacity of the network will be exceeded. Hence there is a need to design new developments with exceedance in mind. This should be considered alongside any surface water flows likely to enter a development site from the surrounding area.

17 CIRIA (2008) Sustainable Drainage Systems: promoting good practice - a CIRIA initiative

18 https://www.ciria.org/Memberships/The\_SuDs\_Manual\_C753\_Chapters.aspx

Masterplanning should ensure that existing overland flow paths are retained within the development. As a minimum, the developer should investigate, as part of a site-specific FRA, the likely extents, depths and associated hazards of surface water flooding on a development site, as shown by the RoFSW dataset. This is considered to be an appropriate approach to reduce the risk of flooding to new developments. Green/blue infrastructure should be used wherever possible to accommodate such flow paths. Floor levels should always be set a minimum of 300 mm above ground level (or 300 mm freeboard above design flood level) to reduce the consequences of any localised flooding, unless local guidance states otherwise.

The effectiveness of a flow management scheme within a single site is heavily limited by site constraints including (but not limited to) topography; geology and soil (permeability); development density; existing drainage networks both on-site and in the surrounding area; adoption issues; and available area. The design, construction and ongoing maintenance regime of such a scheme must be carefully defined at an early stage and a clear and comprehensive understanding of the catchment hydrological processes (i.e. nature and capacity of the existing drainage system) is essential.

# 6.9 **Property Flood Resilience (PFR)**

PFR measures should only be applied retrospectively to existing development that is at flood risk, as new development should not be constructed in areas at flood risk. Para 167 of the NPPF explains that development must only be allowed in areas at flood risk where, following the Sequential and Exception Tests and supported by an FRA, the development is appropriately flood resistant and resilient.

Flood resilience and resistance measures are mainly designed to mitigate flood risk and reduce damage and adverse consequences to existing property. Resistance and resilience measures may aim to help residents and businesses recover more quickly following a flood event.

It should be noted that it is not possible to completely prevent flooding to all communities and businesses.

Research carried out by the then DCLG (now DLUHC) and the EA has recommended that the use of resistance measures should generally be limited to a nominal protection height of 600mm above ground level, the lowest point of ground abutting the external property walls. This is because the structural integrity of the property may be compromised above this level.

It should be noted that PFR measures would not be expected to cause an increase in flood risk to other properties or other parts of the local community. They will help mitigate against flood risk but, as with any flood alleviation scheme, flood risk cannot be removed completely. Emergency plans should, therefore, be in place that describe the installation of measures and residual risks.

As the flood risk posed to a property cannot be removed completely, it is recommended that PFR products are deployed in conjunction with pumps of a sufficient capacity. Pumps help manage residual flood risks not addressed by resistance measures alone such as rising groundwater.

# 6.9.1 Definitions

Flood resilience measures aim to reduce the damage caused by floodwater entering a property. Flood resilience measures are based on an understanding that internal flooding may occur again and when considering this eventuality, homes and businesses are encouraged to plan for flooding with an aim of rapid recovery and the return of the property to a habitable state.

For example, tiled floors are easier to clean than carpets, raised electricity sockets and high-level wall fixings for TVs/computers may mean that that power supply remains



unaffected. Raising kitchen or storage units may also prevent damage that may not require replacement after a flood. There is a lot of information available about what items get damaged by floodwater and features that are considered to provide effective resilience measures that can be installed at a property.

Flood resistance measures aim to reduce the amount of floodwater entering the property. Obvious inflow routes, such as through doors and airbricks may be managed, for example, by installing bespoke flood doors, door flood barriers and automatic closing airbricks. However, the property's condition and construction are also key to understanding how floodwater may enter and move between buildings. For example, flood water can also flow between properties through connecting cavity walls, cellars, beneath suspended floors and through internal walls. Flood resistance measure alone may not keep floodwater out. Building condition is a critical component of any flood mitigation study.

# 6.9.2 **Property mitigation surveys**

To define the scale and type of resistance or resilience measures required, a survey will need to be undertaken to pick up property threshold levels, air brick levels, doorways, historic flood levels and a number of ground spot levels required to better understand the flood mechanisms for flood water arriving at the property (e.g. along road, pavements, etc.). The depth of flooding at each property will help guide the selection of resistance measures proposed. Surveys will need to include consideration of issues such as:

- Detailed property information;
- An assessment of flood risk, including property (cross) threshold levels;
- Routes of water ingress (fluvial, ground and surface water flooding);
- An assessment of impact of flood waters;
- A schedule of measures to reduce risk (resistance and resilience);
- Details of recommendations (including indicative costs);
- Advice on future maintenance of measures; and
- Advice on flood preparedness.

All sources of flooding will need to be considered, including a comprehensive survey of openings (doors, windows and air bricks), as well as potential seepage routes through walls and floors, ingress through service cables, pipes, drains and identify possible weaknesses in any deteriorating brickwork or mortar.

# 7 Emergency Planning

The provisions for emergency planning for local authorities as Category 1 responders are set out by the Civil Contingencies Act, 2004 and the National Flood Emergency Framework for England, December 2014<sup>19</sup>. This framework is a resource for all involved in emergency planning and response to flooding from the sea, rivers, surface water, groundwater and reservoirs. The Framework sets out Government's strategic approach to:

- Ensuring all delivery bodies understand their respective roles and responsibilities when planning for and responding to flood related emergencies;
- Giving all players in an emergency flooding situation a common point of reference which includes key information, guidance and key policies;
- Establishing clear thresholds for emergency response arrangements;
- Placing proper emphasis on the multi-agency approach to managing flooding events;
- Providing clarity on the means of improving resilience and minimising the impact of flooding events;
- Providing a basis for individual responders to develop and review their own plans; and
- Being a long-term asset that will provide the basis for continuous improvement in flood emergency management.

Along with the EA flood warning systems, there are a range of flood plans at a sub-regional and local level, outlining the major risk of flooding and the strategic and tactical response framework for key responders. The Environment Agency and the Association of Directors of Environment, Economy, Planning and Transport (ADEPT) have produced guidance on flood risk emergency plans for new development<sup>20</sup> (September 2019). It would however be for the LPA to review and approve flood risk emergency plans with their emergency planners.

This SFRA contains useful data to allow emergency planning processes to be tailored to the needs of the area and be specific to the flood risks faced. The SFRA Maps in Appendix B and accompanying GIS layers should be made available to emergency planners to help prepare for any flood event and throughout the planning process.

# 7.1 Civil Contingencies Act

Under the Civil Contingencies Act (CCA, 2004)<sup>21</sup>, the LLFA and LPAs are classified as Category 1 responders and thus have duties to assess the risk of emergencies occurring, and use this to:

- Inform contingency planning;
- Put in place emergency plans;
- Put in place business continuity management arrangements;

19 https://www.gov.uk/government/publications/the-national-flood-emergency-framework-for-england

20 https://www.adeptnet.org.uk/floodriskemergencyplan

21 https://www.gov.uk/preparation-and-planning-for-emergencies-responsibilities-of-responder-agencies-and-others#the-civilcontingencies-act



- Put in place arrangements to make information available to the public about civil protection matters;
- Maintain arrangements to warn, inform and advise the public in the event of an emergency;
- Share information with other local responders to enhance coordination; and
- Cooperate with other local responders to enhance coordination and efficiency and to provide advice and assistance to businesses and voluntary organisations about business continuity management.

During an emergency, such as a flood event, the local authority must also co-operate with other Category 1 responders (such as the emergency services and the EA) to provide the core response.

# 7.1.1 Gloucestershire Local Resilience Forum (GLRF)

CDC is a partner of the Gloucestershire Local Resilience Forum (GLRF)<sup>22</sup>. The role of the Resilience Forum is to ensure an appropriate level of preparedness to enable an effective multiagency response to emergency incidents that may have a significant impact on the communities of Cotswold District Council and other areas within Gloucestershire. GLRF consists of representatives from the Emergency Services, all six of Gloucestershire's local authorities (CDC, Gloucester City Council, Cheltenham Borough Council, Tewkesbury Borough Council, Stroud District Council and Forest of Dean Council), Gloucestershire Police, NHS England, the EA and Public Health England.

### 7.1.2 Community Risk Register

As a strategic decision-making organisation, the GLRF prepared a Community Risk Register (CRR)<sup>23</sup>, last updated in 2015 at the time of writing, which considers the likelihood and consequences of the most significant risks and hazards the area faces, including fluvial and urban flooding. This SFRA can help to inform this. The CRR is considered as the first step in the emergency planning process and is designed to reassure the local community that measures and plans are in place to respond to the potential hazards listed within the CRR.

## 7.1.3 Community Emergency Plan

Communities may need to rely on their own resources to minimise the impact of an emergency, including a flood, before the emergency services arrive. Many communities already help each other in times of need, but experience shows that those who are prepared cope better during an emergency. Communities with local knowledge, enthusiasm and information are a great asset and a Community Emergency Plan can help. Details on how to produce a community emergency plan, including a toolkit and template, are available from the Government's website<sup>24</sup>.

A number of parishes within CDC have completed community emergency plans. The Community Resilience sub group of the GLRF have recently updated the template and guidance and are actively promoting Community Emergency plans to parishes and town councils.

22 https://www.glosprepared.co.uk/

 $23\ http://glosprepared.co.uk/wp-content/uploads/2015/12/Gloucestershire-LRF-Community-Risk-Register-2014-15.pdf$ 

24 https://www.gov.uk/guidance/resilience-in-society-infrastructure-communities-and-businesses#community-resilience



This SFRA provides a number of flood risk data sources that should be used when producing or updating flood plans. The LPA will be unable to write their own specific flood plans for new developments at flood risk. Developers should write their own. Generally, owners with individual properties at risk should write their own individual flood plans, however larger developments or regeneration areas, such as retail parks, hotels and leisure complexes, should consider writing one collective plan for the assets within an area.

This SFRA can help to:

- Update these flood plans if appropriate;
- Inform emergency planners in understanding the possibility, likelihood and spatial distribution of all sources of flooding (emergency planners may however have access to more detailed information, such as for Reservoir Inundation Maps, which have not been made available for this SFRA);
- Identify safe evacuation routes and access routes for emergency services;
- Identify key strategic locations to be protected in flooding emergencies, and the locations of refuge areas which are capable of remaining operational during flood events;
- Provide information on risks in relation to key infrastructure, and any risk management activities, plans or business continuity arrangements;
- Raise awareness and engage local communities;
- Support emergency responders in planning for and delivering a proportionate, scalable and flexible response to the level of risk; and
- Provide flood risk evidence for further studies.

The following guidance written by the EA and ADEPT is aimed at LPAs to help assist in setting up their own guidelines on what should be included in flood risk emergency plans:

https://www.adeptnet.org.uk/floodriskemergencyplan

As LLFA, GCC has produced a Local Flood Risk Management Strategy which explains how local flood risk is managed in the Cotswold district. This strategy is available online via:

https://www.gloucestershire.gov.uk/your-community/emergencies-and-yoursafety/flooding-and-drainage/gloucestershire-county-councils-local-flood-risk-managementstrategy-lfrms/

# 7.2 Flood warning and evacuation plans

Developments that include areas that are designed to flood (e.g. amenity greenspace areas) or have a residual risk associated with them (e.g. located behind a flood defence), will need to provide appropriate flood warning and instructions so users and residents are safe in a flood. This will include both physical warning signs and written flood warning and evacuation plans. Those using the new development should be made aware of any evacuation plans.

In relation to a new development, it is up to the LPA to determine whether the flood warning and evacuation plans, or equivalent procedures, are sufficient or not. If the LPA is not satisfied, taking into account all relevant considerations, that a development can be considered safe without the provision of safe access and egress, then planning permission should be refused.

Whilst there is no statutory requirement on the EA or the emergency services to approve evacuation plans, LPAs are accountable under their Civil Contingencies duties, via planning condition or agreement, to ensure that plans are suitable. This should be done in consultation with development management officers. Given the cross-cutting nature of flooding, it is recommended that further discussions are held internally to the LPA between



emergency planners and policy planners/development management officers, the LLFA, drainage engineers and also to external stakeholders such as the emergency services, the EA, WW, ST, TW, and Canal & River Trust (if applicable).

It may be useful for both the LLFA and spatial planners to consider whether, as a condition of planning approval, flood evacuation plans should be provided by the developer which aim to safely evacuate people out of flood risk areas, using as few emergency service resources as possible. It may also be useful to consider how key parts of agreed flood evacuation plans could be incorporated within local development documents, including in terms of protecting evacuation routes and assembly areas from inappropriate development.

Once the development goes ahead, it will be the requirement of the plan owner (developer) to make sure the plan is put in place, and to liaise with the LPA and LLFA regarding maintenance and updating of the plan.

At the time of writing there are 17 Flood Warning Areas within the district located primarily along the River Thames and its tributaries. CDC's emergency plans are created by the GLRF.

### 7.2.1 What should the plan include?

Flood warning and evacuation plans should include the information stated in Table 7-1. Advice and guidance on plans are accessible from the EA website and plan templates are available for businesses and local communities.

Consideration	Purpose
Availability of existing flood warning system	The EA offers a flood warning service that currently covers designated Flood Warning Areas in England and Wales. In these areas, they are able to provide a full Flood Warning Service.
Rate of onset of flooding	The rate of onset is how quickly the water arrives and the speed at which it rises which, in turn, will govern the opportunity for people to effectively prepare for and respond to a flood. This is an important factor within Emergency Planning in assessing the response time available to the emergency services.
How flood warning is given and occupants awareness of the likely frequency and duration of flood events	Everyone eligible to receive flood warning should be signed up to the EA flood warning service. Where applicable, the display of flood warning signs should be considered. In particular, sites that will be visited by members of the public on a daily basis such as sports complexes, car parks, retail stores. It is envisaged that the responsibility should fall upon the developers and should be a condition of the planning permission. Information should be provided to new occupants of houses concerning the level of risk and subsequent procedures if a flood occurs.
The availability of staff/occupants/users to respond to a flood warning and the time taken to respond to a flood warning	The plan should identify roles and responsibilities of all responders. The use of community flood wardens should also be considered.

Designing and locating safe access routes, preparing evacuation routes and the identification of safe locations for evacuees	Dry routes will be critical for people to evacuate as well as emergency services entering the site. The extent, depth and flood hazard rating, including allowance for climate change, should be considered when identifying these routes.
Vulnerability of occupants	Vulnerability classifications associated with development as outlined in the FRCC-PPG. This is closely linked to its occupiers.
How easily damaged items will be relocated, and the expected time taken to re-establish normal use following an event	The impact of flooding can be long lasting well after the event has taken place affecting both the property which has been flooded and the lives that have been disrupted. The resilience of the community to get back to normal will be important including time taken to repair/replace damages.

Table 7-1: Flood warning and evacuation plans

# 7.2.2 EA Flood Warning Areas (FWA) and flood awareness

The EA monitors river levels within the main rivers affecting the authority area and based upon weather predictions provided by The Met Office, makes an assessment of the anticipated maximum water level that is likely to be reached within the proceeding hours (and/or days). Where these predicted water levels are expected to result in inundation of a populated area, the EA will issue a series of flood warnings within defined Flood Warning Areas (FWA), encouraging residents to take action to avoid damage to property in the first instance.

More information on flood warnings is provided by the EA via:

https://www.gov.uk/government/publications/flood-warnings-what-they-are-and-what-to-do

There are 17 FWAs in operation across the Cotswold district. The FWA's are located along the River Thames and its tributaries to protect the properties and businesses within the Plan Area. The FWAs are shown on the SFRA maps in Appendix B.

Live information on flood warning and flood alerts is available via:

https://flood-warning-information.service.gov.uk/

Emergency planners may also use the outputs from this SFRA to raise awareness within local communities. This should include raising awareness of flood risk, roles,

responsibilities and measures that people can take to make their homes more resilient to flooding from all sources whilst also encouraging all those at fluvial flood risk to sign up to the EA's Flood Warning service.

https://www.gov.uk/sign-up-for-flood-warnings

It is also recommended that Category 1 responders are provided with appropriate flood response training to help prepare them for the possibility of a major flood with an increased number of people living within flood risk areas, to ensure that adequate pre-planning response and recovery arrangements are in place.



# 8 Conclusions and recommendations

## 8.1 Conclusions

This Level 1 SFRA provides a single repository planning tool relating to flood risk and development in the Cotswold District. Key flood risk stakeholders namely the EA, LPA, LLFA, WW, ST and TW were consulted to collate all available and relevant flood risk information on all sources into one comprehensive high-level assessment. Together with this report, this SFRA also provides a suite of interactive GeoPDF flood risk maps (Appendix B) and a development site assessment spreadsheet (Appendix C) illustrating the level of risk to potential development sites. Appendix A provides a commentary on the site screening assessment.

The flood risk information, assessment, guidance and recommendations of the SFRA will provide the LPA with the evidence base required to apply the Sequential Test, as required under the NPPF and demonstrate that a risk-based, sequential approach has been applied in the preparation of its new Local Plan.

Whilst the aim of the sequential approach is the avoidance of high flood risk areas, in some locations where the council is looking for continued growth and/or regeneration, this will not always be possible. This SFRA therefore provides the necessary links between spatial development, wider flood risk management policies, local strategies and plans and on the ground works by combining all available flood risk information together into one single repository. As this is a strategic study, detailed local information on flood risk is not fully accounted for. For a more detailed assessment of specific areas or sites, a Level 2 SFRA may be carried out following on from the completion of a Level 1 assessment, if required.

The data and information used throughout the SFRA process is the most up-to-date data available at the time of writing. Once new, updated or further information becomes available, the LPA should look to update this SFRA. The Level 1 SFRA should be considered to be and maintained as, a 'live' entity which is updated as and when required (when new modelling or flood risk information becomes available). The LPA and LLFA can decide to update the SFRA and the EA as a statutory consultee on local plans can also advise on when an update is required to inform the local plan evidence base.

## 8.1.1 Summary of risk

The risk across the district is varied:

- The main fluvial risk comes from the River Thames and its tributaries towards the south of the district, and also along the River Windrush to the north;
- Surface water risk is spread across the district, with areas to the north being of particular risk, around Chipping Campden;
- Groundwater risk is located primarily towards the south of the district around the River Thames; and
- The only area within CDC at reservoir flood risk is around Cirencester.

# 8.2 Planning policy and flood risk recommendations

The following planning policy recommendations relating to flood risk are designed to enable the Local Planning Authority to use the information provided in this Level 1 SFRA to inform Local Plan policy direction:

# **Recommendation 1: No development within the functional floodplain**

...as per the NPPF and FRCC-PPG, unless in exceptional circumstances such as for essential infrastructure, which must still pass the Exception Test, or where development is water compatible.

Development must not impede the flow of water within the functional floodplain nor should it reduce the volume available for the storage of floodwater. Sites within the functional floodplain may still be developable if the site boundary can be removed from the functional floodplain or the site can accommodate the risk on site and keep the area of functional floodplain free from development or obstruction and allowed to flow freely.

Refer to tables 1 to 3 of the FRCC-PPG.



## Recommendation 2a: Consider surface water flood risk...

...with equal importance alongside fluvial risk.

SuDS on all new development must adhere to industry standards and to the applicable runoff discharge rate and storage volume allowances stated by the LLFA.

Site-specific FRAs should always consider surface water flood risk management and options for onsite flood storage through appropriate SuDS. A Sustainable Drainage Strategy should always be submitted which clearly takes account of the findings of the site-specific FRA and specify the proposed design, constructions, adoption and management and maintenance arrangements of the proposed SuDS components. The LPA and LLFA must always be consulted during this process, as should WW, ST and TW and the EA, if required.

### Recommendation 2b: Use of SuDS...

...required for all major developments of 10 or more residential units or equivalent commercial development.

As per the NPPF, in terms of SuDS, development in areas at flood risk should only be permitted where SuDS are incorporated into the design, unless clear evidence demonstrates this would be inappropriate.

SuDS scoping and design, as part of a site-specific FRA, must be included within the early stages of the site design in order to incorporate appropriate SuDS within the development.

The LPA, LLFA, WW, ST and TW (if appropriate) must be consulted during the site design stage and the FRA must be submitted to and be approved by the LPA, considering all consultation with key stakeholders.

All SuDS must be designed to meet industry standards, as specified below, including any replacement standards/documents which update or are in addition to those listed:

- Technical Standards for Sustainable Drainage Systems (Defra);
- Sustainable Drainage: A design and adoption guide (GCC);
- C753 The SuDS Manual; and
- Sewerage Sector Guidance (2020).



# Recommendation 3: Sequential approach to site allocation and site layout...

...must be followed by the LPA to ensure sustainable development when either allocating land in Local Plans or determining planning applications for development.

The overall aim of the Sequential Approach should be to steer new development to low risk Flood Zone 1. Where there are no reasonably available sites in Flood Zone 1, the flood risk vulnerability of land uses and reasonably available sites in Flood Zone 2 should be considered, applying the Exception Test if required.

Only where there are no reasonably available sites in Flood Zones 1 or 2 should the suitability of sites in higher risk Flood Zone 3a, be considered. This should take into account the flood risk vulnerability of land uses, residual surface water and/or groundwater flood risk and the likelihood of meeting the requirements of the Exception Test, if required.

This SFRA, the NPPF and FRCC-PPG must be consulted throughout this process along with the LLFA, EA, WW, ST and TW.

# Recommendation 4: recommended requirements for a site-specific Flood Risk Assessment...

...from a developer when a site is:

- Located within Flood Zone 2 and/or Flood Zone 3;
- Greater than 1 ha in area;
- Within Flood Zone 1 where any part of the site is identified by the Risk of Flooding from Surface Water maps as being at risk of surface water flooding;
- Identified by the EA as having critical drainage problems (within an Area with Critical Drainage Problems);
- Situated over or within 8 metres of a culverted watercourse or where development will be required to control or influence the flow of any watercourse;
- Within 20 metres of a Main River (due to potential increase in risk associated with climate change);
- Identified as being at increased flood risk in future;
- At risk of flooding from other sources of flooding or at residual risk;
- Subject to a change of use to a higher vulnerability classification which may be subject to other sources of flooding; and
- Situated in an area currently benefitting from defences.

Before deciding on the scope of the FRA, this SFRA should be consulted along with the LPA, LLFA, WW, ST and TW. The FRA should be submitted to and be approved by the LPA including suitable consultation with the LLFA and the EA and any other applicable parties.



## Recommendation 5: Natural Flood Management techniques...

...must be considered, where possible, to aid with flood alleviation and implementation of suitable SuDS, depending on the location.

The national Working with Natural Processes mapping (included in this SFRA) should be consulted in the first instance, followed by local investigation into whether such techniques are appropriate and whether the benefits are proportionate to the work required to carry out the identified Working with Natural Processes approaches.

Natural drainage features should be maintained and enhanced and there should be a presumption against culverting of open watercourses. Where possible, culvert removal should be explored.

### Recommendation 6: Phasing of development...

...must be carried out by the LPA on a site by site basis and also within sites by the developer to avoid any cumulative impacts of flood risk (reinforced by the NPPF).

Using a phased approach to development, should ensure that any sites at risk of causing flooding to other sites are developed first to ensure that flood storage measures are in place and operational before other sites are developed, thus contributing to a sustainable approach to site development during all phases of construction. It may be possible that flood mitigation measures put in place at sites upstream could alleviate flooding at downstream or nearby sites.

Development phasing within large strategic sites of multiple developments should also be considered where parts of such sites are at flood risk.

The EA states that the optimum approach would be to have all development sites that make up a large strategic site to have all developers sign up to a Flood Risk and Drainage Masterplan from the very start of the planning stage. It is often the case that outline planning permission is given for larger strategic sites with individual developers then submitting further separate site-specific FRAs that are not joined up with the rest of the site. These individual FRAs can then fail to include the green SuDS infrastructure indicated within the Outline FRA.



...can only be granted by the LPA where a site-specific FRA shows that:

- The NPPF and FRCC-PPG have been referenced together with appropriate consultation with the LLFA, the EA, WW, ST and TW, where applicable;
- The effects of climate change have been taken into account using the latest allowances developed by the EA;
- There is no loss in floodplain storage resulting from the development i.e. where development takes place in a fluvial flood zone or is at risk from surface water flooding, compensatory storage must be found to avoid loss of floodplain and subsequent displacement of water which may cause flooding elsewhere;
- The development will not significantly increase flood risk elsewhere;
- For previously developed sites, the development should meet greenfield runoff rates (in line with the GCC LFRMS), achieved through providing SuDS and source control as appropriate or through the use of appropriate flow and volume control devices;
- There is no adverse effect on the operational functions of any existing flood defence infrastructure;
- Proposed resistance/resilience measures designed to deal with current and future risks are appropriate;
- Whether the development will be safe for its lifetime and has passed the Exception Test, if applicable; and
- An appropriate Emergency Plan is included that accounts for the possibility of a flood event and shows the availability of safe access and egress points accessible during times of flood.

# 8.2.1 Recommendations for further work

The SFRA process has developed into more than just a planning tool. Sitting alongside the SA, LFRMS and FRMP, it can be used to provide a much broader and inclusive vehicle for integrated, strategic and local flood risk management and delivery.

There are a number of plans and assessments listed in Table 8-1 that may be of benefit to the LPA, in developing their flood risk evidence base to support the delivery of the Local Plan, or to the LLFA to help fill critical gaps in flood risk information that have become apparent through the preparation of this Level 1 SFRA.

# JBA consulting

Туре	Study	Reason	Timefram e
Understanding of local flood risk	Level 1 SFRA update	When there are changes to:	As required
		the predicted impacts of climate change on flood risk;	
		detailed flood modelling - such as from the EA or LLFA;	
		the local plan, spatial development strategy or relevant local development documents;	
		local flood management schemes;	
		flood risk management plans;	
		shoreline management plans;	
		local flood risk management strategies; and	
		national planning policy or guidance.	
		Or after a significant flood event.	
	Level 1 SFRA update; Level 2 SFRA; site- specific FRA	Reviewing of EA flood zones in those areas not covered by existing detailed hydraulic models i.e. the Flood Map for Planning does not cover every watercourse such as those <3km <sup>2</sup> in catchment area or Ordinary Watercourses.	Short term
		If a watercourse or drain is present on OS mapping but is not covered by the Flood Map for Planning, this does not mean there is no potential flood risk. A model may therefore be required to ascertain the flood risk, if any, to any nearby sites.	
	Level 2 SFRA	Further, more detailed assessment of flood risk to	Short term



Туре	Study	Reason	Timefram e
		high risk sites, large strategic sites, as notified by an updated Level 1 SFRA.	
	Preliminary site-screening FRAs/outline drainage strategy	Further, more detailed assessment of larger strategic sites, if the LPA feels this is prudent.	Short term
	Local Flood Risk Management Strategy Review	It is recommended that the LFRMS is updated in 2022 to ensure it remains consistent with the National Flood and Coastal Erosion Risk Management Strategy that was updated and published July 2020.	Short term
	SWMP/draina ge strategy/detai led surface water modelling	GCC has not developed a SWMP for any areas of the Cotswold district. It is recommended that the LLFA uses information from this SFRA to ascertain whether certain locations at high surface water flood risk may benefit from a SWMP or a detailed surface water modelling study.	Short to medium term
	Climate change assessment for Level 1 update or Level 2 SFRA (and FRAs)	Modelling of climate change, using EA's most up-to-date allowances.	Short term
Flood storage and attenuation	Working with Natural Processes	Further assess WwNP options in upper catchments to gauge possible areas for Natural Flood Management. Promote creation of floodplain and riparian woodland, floodplain reconnection and runoff attenuation features where the research indicates that it would be beneficial within the district.	Short term



Туре	Study	Reason	Timefram e
Data collection	Flood Incident data	GCC, as LLFA, has a duty to investigate and record details of significant flood events within their area. General data collected for each incident, should include date, location, weather, flood source (if apparent without an investigation), impacts (properties flooded or number of people affected) and response by any Risk Management Authority.	Short term
	FRM Asset Register	CDC has a responsibility to update and maintain a register of structures and features, which are considered to have an effect on flood risk.	Ongoing
Risk Assessment	Asset Register Risk Assessment	GCC, as LLFA, should carry out a strategic flood risk assessment of structures and features on the Asset Register to inform capital programme and prioritise maintenance programme.	Short term/ ongoing
Capacity	SuDS review/ guidance	The LLFA should clearly identify its requirements of developers for SuDS in new developments. Internal capacity, within CDC should be in place to deal with SuDS applications, set local specification and set policy for adoption and future maintenance of SuDS.	Short term
Partnership	Wessex Water, Severn Trent and Thames Water	The LLFA should continue to collaborate with WW, ST and TW on sewer and surface water projects. The LPA should work with the relevant water companies to ensure their assets can remain operational and resilient at all times across the catchment and that capacity for new development is appropriate.	Ongoing



Туре	Study	Reason	Timefram e
	EA	CDC should continue to work with the EA on fluvial flood risk management projects. Potential opportunities for joint schemes to tackle flooding from all sources should be identified.	Ongoing
	Community	Continued involvement with the community through CDC's existing flood risk partnerships.	Ongoing

# Table 8-1: Plans and assessments beneficial to developing the flood risk evidence base

## 8.2.2 Level 2 SFRA

The LPA should review the sites where they expect the main housing numbers and employment sites to be delivered, using Section E.1 of Appendix E, the SFRA maps in Appendix B and the development site assessment spreadsheet in Appendix C. A Level 2 SFRA may be required for sites where any of the following applies:

- The Exception Test is required;
- Further evidencing i.e. climate change modelling is required at the strategic level in order to allocate; and
- A large site, or group of sites, are within Flood Zone 3 and have strategic planning objectives, which means they cannot be relocated or avoided.

A Level 2 SFRA should build on the source information provided in this Level 1 assessment and should show that a site will not increase risk elsewhere and will be safe for its lifetime, once developed.

A Level 2 study may also further assess locations and options, in more detail, for the implementation of open space, or Green Infrastructure, to help manage flood risk in key areas and also to assess residual risk.

Ultimately, the LPA will need to provide evidence in their Local Plan to show that housing numbers, economic needs and other sites can be delivered. Proposals within the Local Plan may be rejected if a large number of sites require the Exception Test to be passed but with no evidence that this will be possible.

As sites within this Level 1 assessment have been reviewed by the LPA in the consideration of planning applications, then further advice or guidance may be required to establish how best to progress future development proposals, possibly by a further review of their SFRA.



# **Appendices**

# Appendix A - Planning Framework and Flood Risk Policy

Following the introduction to the planning framework and flood risk policy located in Section 4, the remainder of the policy information is located within this appendix and gives background into the policy documents that are relevant to CDC.

# **Appendix B – Interactive GeoPDF maps**

The SFRA Maps consist of all flood risk information used within the SFRA, by way of interactive GeoPDFs. Open the Index Map in Adobe Acrobat. The Index Map includes a set of 24 squares; clicking on one of these squares will open up one of the Detailed Maps of the settlement.

Within the detailed maps, use the zoom tools and the hand tool to zoom in/out and pan around the open detailed map. In the legend on the right-hand side of the detailed maps, layers can be switched on and off when required by way of a dropdown arrow. The potential development site reference labels can also be switched on and off if, for example, smaller sites are obscured by labels.

# **Appendix C – Development site assessment spreadsheet**

Excel spreadsheet containing an assessment of flood risk to the potential development sites based on Flood Zones 2, 3a and 3b, as delineated through this SFRA, the updated Flood Map for Surface Water (RoFSW) and climate change considerations. Each site then given a strategic recommendation based on risk.

# Appendix D – Functional floodplain delineation

Technical note explaining the methodology behind the delineation of the functional floodplain (Flood Zone 3b) for this SFRA.

# Appendix E – Strategic Recommendations of the proposed sites

Following on from the introduction to the strategic recommendations for sites and the site assessment spreadsheet in Appendix C, this Appendix details the strategic recommendations for sites.

# Appendix F – Key settlement summaries

Summary tables detailing flood risk and historic flood event in each of the key settlements.

# Appendix G – Flood risk issues in neighbouring authorities

An appendix to the cumulative impact assessment detailing existing flood risk issues within each of the neighbouring authorities.

# Appendix H – Cumulative Impact Assessment methodology

Discussion of the methodology followed for the cumulative impact assessment.

# Appendix I – Historical flood information

Details information on historical flood events that have occurred within CDC.

# JBA consulting

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